# Some Problems on Cayley Graphs

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Elena Konstantinova

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#### Some Problems on Cayley Graphs

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## Introduction

The definition of a Cayley graph was introduced by Arthur Cayley in 1878 to explain the concept of an abstract group generated by a set of generators. This definition has two main sources: group theory and graph theory.

Group theory studies algebraic structures known as groups. A group is a set of elements with an operation on these elements such that the set and the operation must satisfy to the group axioms, namely closure, associativity, identity and invertibility. The earliest study of groups as such probably goes back to the work of Lagrange in the late 18th century. However, this work was somewhat isolated. Publications of Augustin Louis Cauchy and Évariste Galois are more commonly referred to as the beginning of group theory. Évariste Galois, in the 1830s, was the first to employ groups to determine the solvability of polynomial equations. Arthur Cayley and Augustin Louis Cauchy pushed these investigations further by creating the theory of permutation group.

Graph theory studies discrete structures known as graphs to model pairwise relations between objects from a certain collection. So, a graph is a collection of vertices or nodes and a collection of edges that connect pairs of vertices. It is known that the first paper in the history of graph theory was written by Leonhard Euler on the Seven Bridges of Königsberg and published in 1736 [15]. The city of Königsberg in Prussia (now Kaliningrad, Russia) was set on both sides of the Pregel River, and included two large islands which were connected to each other and the mainland by seven bridges. The problem was to find a walk through the city that would cross each bridge once and only once. Euler proved that the problem has no solution.

There is also a big branch of mathematics in which algebraic methods are applied to problems about graphs. This is algebraic graph theory involving the use of group theory and the study of graph invariants. One of its branches also studies Cayley graphs with properties related to the structure of the group.

In the last fifty years, the theory of Cayley graphs has been developed to a rather big branch in algebraic graph theory. It has relations with many practical problems, and also with some classical problems in pure mathematics such as classification, isomorphism or enumeration problems. There are problems related to Cayley graphs which are interesting to graph and group theorists such as hamiltonian or diameter problems, and to computer scientists and molecular biologists such as sorting by reversals.

These Notes are based on the lectures I gave in May–June 2012 at University of Primorska FAMNIT in Koper, Slovenia, in the frame of "2012 Graph Theory Semester". They consist of selected problems on Cayley graphs. First of all, we pay attention to the hamiltonian and diameter problems for Cayley graphs. We discuss combinatorial conditions for hamiltonicity, Lovász and Babai conjectures, hamiltonicity of Cayley graphs on the symmetric group and hamiltonicity of Cayley graphs on finite groups with a small generating set. The diameter problem is considered for abelian and non-abelian groups (there is a fundamental difference for them), for the Pancake graphs (unburnt and burnt cases). The interesting fact is that the diameter problem appears in some popular games, for instance, in the Rubik's cube puzzle. Recently it was shown that every position of Rubik's cube can be solved in twenty moves or less, which represents the diameter of a corresponding Cayley graph. In general, computing the diameter of an arbitrary Cayley graph over a set of generators is NP-hard. We also emphasize the variety of applications of Cayley graphs in solving combinatorial and graph-theoretical problems and show how these graphs connect with applied problems in molecular biology and computer sciences. Special thanks go to Alexey Medvedev on helping in preparing pictures for these Lecture Notes.

### Chapter 1

## Definitions, basic properties and examples

In this section, basic definitions are given, notation is introduced and examples of graphs are presented. We also discuss some combinatorial and structural properties of Cayley graphs that we will be used later. For more definitions and details on graphs and groups we refer the reader to the books [13, 14, 18, 60].

#### 1.1 Groups and graphs

Let G be a finite group. The elements of a subset S of a group G are called generators of G, and S is said to be a generating set, if every element of G can be expressed as a finite product of generators. We also say that G is generated by S. The identity of a group G will be denoted by eand the operation will be written as multiplication. A subset S of G is identity free if  $e \notin S$  and it is symmetric (or closed under inverses) if  $s \in S$ implies  $s^{-1} \in S$ . The last condition can be also denoted by  $S = S^{-1}$ , where  $S^{-1} = \{s^{-1} : s \in S\}$ .

A permutation  $\pi$  on the set  $X = \{1, \ldots, n\}$  is a bijective mapping (i.e. one-to-one and surjective) from X to X. We write a permutation  $\pi$  in oneline notation as  $\pi = [\pi_1 \pi_2 \dots \pi_n]$  where  $\pi_i = \pi(i)$  are images of elements for every  $i \in \{1, \ldots, n\}$ . The group of all permutations acting on the set  $\{1, \ldots, n\}$  is called the *symmetric group* and denoted by  $Sym_n$ . The cardinality of the symmetric group  $Sym_n$  is defined by the number of all its elements, that is  $|Sym_n| = n!$ . In particular, the symmetric group  $Sym_n$  is generated by transpositions swapping any two neighbors elements of a permutations that is the generating set  $S = \{(12), (23), \ldots, (n-1n)\}$ . This set of generators is also known as the set of the (n-1) Coxeter generators of  $Sym_n$  and it is an important instance in combinatorics of Coxeter groups (for details see [16]).

Let  $S \subset G$  be the identity free and symmetric generating set of a finite group G. In the Cayley graph  $\Gamma = Cay(G, S) = (V, E)$  vertices correspond to the elements of the group, i.e. V = G, and edges correspond to multiplication on the right by generators, i.e.  $E = \{\{g, gs\} : g \in G, s \in S\}$ . The identity free condition is imposed so that there are no loops in  $\Gamma$ . The reason for the second condition is that an edge should be in the graph no matter which end vertex is used. So when there is an edge from g to gs, there is also an edge from gs to  $(gs)s^{-1} = g$ .

For example, if G is the symmetric group  $Sym_3$ , and the generating set S is presented by all transpositions from the set  $T = \{(12), (23), (13)\}$ , then the Cayley graph  $Sym_3(T) = Cay(Sym_3, T)$  is isomorphic to  $K_{3,3}$  (see Figure 1). Here  $K_{p,q}$  is the complete bipartite graph with p and q vertices in the two parts, respectively.



Figure 1. The Cayley graph  $Sym_3(T)$  is isomorphic to  $K_{3,3}$ 

Let us note here that if the symmetry condition doesn't hold in the definition of the Cayley graph then we have the Cayley digraphs which are not considered in these Lecture Notes.

#### 1.2 Symmetry and regularity of graphs

Let  $\Gamma = (V, E)$  be a finite simple graph. A graph  $\Gamma$  is said to be *regular* of degree k, or k-regular if every vertex has degree k. A regular graph of degree 3 is called *cubic*.

A permutation  $\sigma$  of the vertex set of a graph  $\Gamma$  is called an *automorphism* provided that  $\{u, v\}$  is an edge of  $\Gamma$  if and only if  $\{\sigma(u), \sigma(v)\}$  is an edge of  $\Gamma$ . A graph  $\Gamma$  is said to be *vertex-transitive* if for any two vertices uand v of  $\Gamma$ , there is an automorphism  $\sigma$  of  $\Gamma$  satisfying  $\sigma(u) = v$ . Any vertex-transitive graph is a regular graph. However, not every regular graph is a vertex-transitive graph. For example, the Frucht graph is not vertex-transitive (see Figure 1.) A graph  $\Gamma$  is said to be *edge-transitive* if for any pair of edges x and y of  $\Gamma$ , there is an automorphism  $\sigma$  of  $\Gamma$  that maps x into y. These symmetry properties require that every vertex or every edge in a graph  $\Gamma$  looks the same and these two properties are not interchangeable. The graph  $\Gamma$  presented in Figure 2 is vertex-transitive but not edge-transitive since there is no an automorphism between edges  $\{u, v\}$ and  $\{u', v'\}$ . The complete bipartite graph  $K_{p,q}$ ,  $p \neq q$ , is the example of edge-transitive but not vertex-transitive graph.



The Frucht graph

Γ

Figure 2. The Frucht graph: regular but not vertex-transitive; vertex-transitive but not edge-transitive graph  $\Gamma$ .





Figure 3 presents the Petersen graph that is vertex-transitive and edgetransitive, and a graph  $\Gamma$  that is neither vertex- nor edge-transitive.

**Proposition 1.2.1** Let S be a set of generators for a group G. The Cayley graph  $\Gamma = Cay(G, S)$  has the following properties: (i) it is a connected regular graph of degree equal to the cardinality of S; (ii) it is a vertex-transitive graph.

Proof. Indeed, S is required to be a generating set of G so that  $\Gamma$  is connected. Since S is symmetric then every vertex in  $\Gamma = Cay(G, S)$  has degree equal to |S|. Thus, the graph  $\Gamma = Cay(G, S)$  is regular of degree equal to the cardinality of S. The Cayley graph Cay(G, S) is vertex-transitive because the permutation  $\sigma_g, g \in G$ , defined by  $\sigma_g(h) = gh$  for all  $h \in G$  is an automorphism.  $\Box$ 

#### **Proposition 1.2.2** Not every vertex-transitive graph is a Cayley graph.

*Proof.* The simplest counterexample is the Petersen graph, which is a vertex-transitive but not a Cayley graph. The Petersen graph has order 10, it is cubic and its diameter is 2. This statement can be checked directly by examining of pairs (G, S) where G would have to be a group of order 10 and the size of S would have to be 3. There are only two nonisomorphic groups of order 10 and, checking all 3-sets S in each with the identity free and symmetric properties, one finds that each gives a diameter greater than 2. This proof was given by Biggs [14].

Denote by d(u, v) the *path distance* between the vertices u and v in  $\Gamma$ , and by  $diam(\Gamma) = \max\{d(u, v) : u, v \in V\}$  the *diameter* of  $\Gamma$ . In particular, in a Cayley graph the diameter is the maximum, over  $g \in G$ , of the length of a shortest expression for g as a product of generators. Let

$$S_r(v) = \{ u \in V(\Gamma) : d(v, u) = r \} \text{ and } B_r(v) = \{ u \in V(\Gamma) : d(v, u) \leqslant r \}$$

be the metric sphere and the metric ball of radius r centered at the vertex  $v \in V(\Gamma)$ , respectively. The vertices  $u \in B_r(v)$  are r-neighbors of the vertex v. For  $v \in V(\Gamma)$  we put  $k_i(v) = |S_i(v)|$  and for  $u \in S_i(v)$  we set

$$c_i(v, u) = |\{x \in S_{i-1}(v) : d(x, u) = 1\}|,$$
  

$$a_i(v, u) = |\{x \in S_i(v) : d(x, u) = 1\}|,$$
  

$$b_i(v, u) = |\{x \in S_{i+1}(v) : d(x, u) = 1\}|.$$

From this  $a_1(v, u) = a_1(u, v)$  is the number of triangles over the edge  $\{v, u\}$  and  $c_2(v, u)$  is the number of common neighbors of  $v \in V$  and  $u \in S_2(v)$ . Let  $\lambda = \lambda(\Gamma) = \max_{v \in V, u \in S_1(v)} a_1(v, u)$  and  $\mu = \mu(\Gamma) = \max_{v \in V, u \in S_2(v)} c_2(v, u)$ .

A simple connected graph  $\Gamma$  is distance-regular if there are integers  $b_i, c_i$ for  $i \ge 0$  such that for any two vertices v and u at distance d(v, u) = ithere are precisely  $c_i$  neighbors of u in  $S_{i-1}(v)$  and  $b_i$  neighbors of u in  $S_{i+1}(v)$ . Evidently  $\Gamma$  is regular of valency  $k = b_0$ , or k-regular. The numbers  $c_i$ ,  $b_i$  and  $a_i = k - b_i - c_i$ ,  $i = 0, \ldots, d$ , where  $d = diam(\Gamma)$  is the diameter of  $\Gamma$ , are called the *intersection numbers* of  $\Gamma$  and the sequence  $(b_0, b_1, \ldots, b_{d-1}; c_1, c_2, \ldots, c_d)$  is called the *intersection array* of  $\Gamma$ .

The schematic representation of the intersection array for a distance– regular graph is given below:





Figure 4. The cyclic 6–ladder  $L_6$ 

A k-regular simple graph  $\Gamma$  is strongly regular if there exist integers  $\lambda$ and  $\mu$  such that every adjacent pair of vertices has  $\lambda$  common neighbors, and every nonadjacent pair of vertices has  $\mu$  common neighbors. A simple connected graph  $\Gamma$  is distance-transitive if, for any two arbitrary-chosen pairs of vertices (v, u) and (v', u') at the same distance d(v, u) = d(v', u'), there is an automorphism  $\sigma$  of  $\Gamma$  satisfying  $\sigma(v) = v'$  and  $\sigma(u) = u'$ .

**Proposition 1.2.3** Any distance-transitive graph is vertex-transitive.

This statement is obvious (consider two vertices at distance 0). However, the converse is not true in general. There exist vertex-transitive graphs that are not distance-transitive. For instance, the cyclic 6-ladder  $L_6$  is clearly vertex-transitive – we can rotate and reflect it (see Figure 4). However, it is not distance-transitive since there are two pairs of vertices u, v and u, w at distance two, i.e. d(u, v) = d(u, w) = 2, such that there is no automorphism that moves one pair of vertices into the other, as there is only one path between vertices u, w, while there are two paths for the vertices u, v.

The following graphs are distance-transitive: the complete graphs  $K_n$ ; the cycles  $C_n$ ; the platonic graphs that are obtained from the five Platonic solids: their vertices and edges form distance-regular and distancetransitive graphs as well with intersection arrays  $\{3; 1\}$  for tetrahedron,  $\{4, 1; 1, 4\}$  for octahedron,  $\{3, 2, 1; 1, 2, 3\}$  for cube,  $\{5, 2, 1; 1, 2, 5\}$  for icosahedron,  $\{3, 2, 1, 1, 1; 1, 1, 1, 2, 3\}$  for dodecahedron; and many others.



Figure 5. The Shrikhande graph: distance–regular, but not distance–transitive

#### **Proposition 1.2.4** Any distance-transitive graph is distance-regular.

This statement is also obvious. The converse is not necessarily true. The smallest distance–regular graph that is not distance–transitive is the Shrikhande graph presented in Figure 5 (for details we refer to [17]).

An example of a distance-regular but not distance-transitive or vertextransitive graph is the Adel'son-Vel'skii graph whose vertices are the 26 symbols  $x_i, y_i, i \in \mathbb{Z}_{13}$  ( $\mathbb{Z}_{13}$  is the additive group of integers modulo 13) and in which the following vertices are adjacent:

- 1.  $x_i$  adjacent  $x_j \iff |i-j| = 1, 3, 4.$
- 2.  $y_i$  adjacent  $y_j \iff |i-j| = 2, 5, 6.$
- 3.  $x_i$  adjacent  $y_j \iff i j = 0, 1, 3, 9.$

(all taken modulo 13). This graph is distance-regular with the intersection array  $\{10, 6; 1, 4\}$ . However, it is not distance-transitive or even vertex-transitive: there is no automorphism taking any  $x_i$  to any  $y_i$ .

#### 1.3 Examples

#### **1.3.1** Some families of Cayley graphs

The *complete* graph  $K_n$  is a Cayley graph on the additive group  $\mathbb{Z}_n$  of integers modulo n with generating set of all non-zero elements of  $\mathbb{Z}_n$ .

The *circulant* is the Cayley graph  $Cay(\mathbb{Z}_n, S)$  where  $S \subset \mathbb{Z}_n$  is an arbitrary generating set. The most prominent example is the *cycle*  $C_n$ .

The multidimensional torus  $T_{n,k}$ ,  $n \ge 2, k \ge 2$ , is the cartesian product of n cycles of length k. It has  $k^n$  vertices of degree 2n and its diameter is  $n\lceil \frac{k}{2}\rceil$ . It is the Cayley graph of the group  $\mathbb{Z}_k^n$  that is the direct product of  $\mathbb{Z}_k$  with itself n times, which is generated by 2n generators from the set  $S = \{(\underbrace{0,\ldots,0}_{i},1,\underbrace{0,\ldots,0}_{n-i-1}), (\underbrace{0,\ldots,0}_{i},-1,\underbrace{0,\ldots,0}_{n-i-1}), 0 \le i \le n-1\}.$ 

The hypercube (or *n*-dimensional cube)  $H_n$  is the graph with vertex set  $\{x_1x_2...x_n : x_i \in \{0,1\}\}$  in which two vertices  $(v_1v_2...v_n)$  and  $(u_1u_2...u_n)$  are adjacent if and only if  $v_i = u_i$  for all but one  $i, 1 \leq i \leq n$ . It is a distance-transitive graph with diameter and degree of n and can be considered as a particular case of torus, namely  $T_{n,2}$ , since it is the cartesian product of n complete graphs  $K_2$ . It is the Cayley graph on the group  $\mathbb{Z}_2^n$  with the generating set  $S = \{(\underbrace{0, \ldots, 0}_{i}, 1, \underbrace{0, \ldots, 0}_{n-i-1}), 0 \leq i \leq n-1\}.$ 

The butterfly graph  $BF_n$  is the Cayley graph with vertex set  $V = \mathbb{Z}_n \times \mathbb{Z}_2^n$ ,  $|V| = n \cdot 2^n$ , and with edges defined as follows. Any vertex  $(i, x) \in V$ , where  $0 \leq i \leq n-1$  and  $x = (x_0x_1 \dots x_{n-1})$ , is connected to (i+1,x)and (i+1,x(i)) where x(i) denotes the string, which is derived from x by replacing  $x_i$  by  $1-x_i$ . All arithmetic on indices i is assumed to be modulo n. Thus,  $BF_n$  is derived from  $H_n$  by replacing each vertex x by a cycle of length n, however the vertices of this cycle are connected to vertices of other cycles in a different way such that the degree is 4 (for  $n \geq 3$ ). For example,  $BF_2 = H_3$  and  $BF_1 = K_2$ . The diameter of  $BF_n$  is  $\lfloor \frac{3n}{2} \rfloor$ . This graph is not edge-transitive, not distance-regular and hence not distancetransitive. This graph is also the Cayley graph on the subgroup of  $Sym_{2n}$ of  $n2^n$  elements generated by  $(12 \dots 2n)^2$  and  $(12 \dots 2n)^2(12)$ .

#### 1.3.2 Hamming graph: distance–transitive Cayley graph

Let  $F_q^n$  be the Hamming space (where  $F_q$  is the field of q elements) consisting of the  $q^n$  vectors (or words) of length n over the alphabet  $\{0, 1, ..., q - 1\}$ ,  $q \ge 2$ . This space is endowed with the Hamming distance d(x, y)which equals to the number of coordinate positions in which x and y differ. This space can be viewed as the Hamming graph  $L_n(q)$  with vertex set given by the vector space  $F_q^n$  where  $\{x, y\}$  is an edge of  $L_n(q)$  if and only if d(x, y) = 1. The Hamming graph  $L_n(q)$  is, equivalently, the cartesian product of n complete graphs  $K_q$ . This graph has the following properties:

- 1. its diameter is n;
- 2. it is distance-transitive and Cayley graph;
- 3. it has intersection array given by  $b_j = (n-j)(q-1)$  and  $c_j = j$  for  $0 \leq j \leq n$ .

Indeed, the Hamming graph is the Cayley graph on the additive group  $F_q^n$  when we take the generating set  $S = \{xe_i : x \in (F_q)^{\times}, 1 \leq i \leq n\}$ where  $(F_q)^{\times}$  is the cartesian product of  $F_q$  and  $e_i = (0, ..., 0, 1, 0, ...0)$  are the standard basis vectors of  $F_q^n$ .

For the particular case n = 2 the Hamming graph  $L_2(q)$  is also known as the *lattice graph* over  $F_q$ . This graph is strongly regular with parameters  $|V(L_2(q))| = q^2$ , k = 2(q-1),  $\lambda = q-2$ ,  $\mu = 2$ . The lattice graph  $L_2(3)$  is presented in Figure 6.



Figure 6. The lattice graph  $L_2(3)$ 

#### **1.3.3** Johnson graph: distance–transitive not Cayley graph

The Johnson graph J(n, m) is defined on the vertex set of the *m*-element subsets of an *n*-element set. Two vertices are adjacent when they meet in a (m - 1)-element set. On J(n, m) the Johnson distance is defined as half the (even) Hamming distance, and two vertices x, y are joined by an edge if and only if they are at Johnson distance one from each other. This graph has the following properties:

- 1. its diameter d is  $min\{m, n-m\}$ ;
- 2. it is distance-transitive but not Cayley graph (for m > 2);
- 3. it has intersection array given by  $b_j = (m-j)(n-m-j)$  and  $c_j = j^2$  for  $0 \leq j \leq d$ .

Let us note that  $J(n,1) \cong K_n$  and it is a Cayley graph. In general, to show that the Johnson graph is not a Cayley graph just take n or n-1congruent to  $2 \pmod{4}$ . Then  $\binom{n}{2}$  is odd, etc.

In the particular case m = 2 and  $n \ge 4$  the Johnson graph J(n, 2) is known as the *triangular graph* T(n). As vertices it has the 2-element subsets of an *n*-set and two vertices are adjacent if and only if they are not disjoint. This graph is strongly regular with parameters  $|V(T(n))| = \frac{n(n-1)}{2}$ , k = 2(n-2),  $\lambda = n-2$ ,  $\mu = 4$ . The triangular graph T(4) is presented in Figure 7.



Figure 7. The triangular graph T(4)

#### 1.3.4 Kneser graph: when it is a Cayley graph

The Kneser graph K(n, k),  $k \ge 1$ ,  $n \ge 2k + 1$ , is the graph whose vertices correspond to the k-element subsets of a set of n elements, and where two vertices are connected if and only if two corresponding sets are disjoint. The graph K(2n - 1, n - 1) is also referred as the odd graph  $O_n$ . The complete graph  $K_n$  on n vertices is K(n, 1), and the Petersen graph is K(5, 2) (see Figure 8). The graph K(n, k) has the following properties:

- 1. its diameter is  $\left\lceil \frac{k-1}{n-2k} \right\rceil + 1;$
- 2. it is vertex-transitive and edge-transitive graph;
- 3. it is  $\binom{n-k}{k}$ -regular graph;
- 4. it is not, in general, a strongly regular graph.

The following theorem of Godsil provides the conditions on k which imply that the corresponding Kneser graph is a Cayley graph.

**Theorem 1.3.1** [35] Except the following cases, the Kneser graph K(n, k) is not a Cayley graph:

(i)  $k = 2, n \text{ is a prime power and } n \equiv 3 \mod 4;$ (ii) k = 3, n = 8 or 32.



Figure 8. The graph K(5,2) is isomorphic to the Petersen graph

#### 1.3.5 Cayley graphs on the symmetric group

In this section we present Cayley graphs on the symmetric group  $Sym_n$  that are applied in computer science, molecular biology and coding theory.

The Transposition graph  $Sym_n(T)$  on  $Sym_n$  is generated by transpositions from the set  $T = \{t_{i,j} \in Sym_n, 1 \leq i < j \leq n\}$ , where  $t_{i,j}$  transposes the *i*-th and *j*-th elements of a permutation when multiplied on the right. The distance in this graph is defined as the least number of transpositions transforming one permutation into another. The transposition graph  $Sym_n(T), n \geq 3$ , has the following properties:

- 1. it is a connected graph of order n! and diameter n-1;
- 2. it is bipartite  $\binom{n}{2}$ -regular graph;
- 3. it has no subgraphs isomorphic to  $K_{2,4}$ ;
- 4. it is edge-transitive but not distance-regular and hence not distancetransitive (for n > 3).

This graph arises in molecular biology for analyzing transposons (genetic transpositions) that are mutations transferring a chromosomal segment to a new position on the same or another chromosome [69, 74]. It is also considered in coding theory for solving a reconstruction problem [61].

The Bubble-sort graph  $Sym_n(t)$ ,  $n \ge 3$ , on  $Sym_n$  is generated by transpositions from the set  $t = \{t_{i,i+1} \in Sym_n, 1 \le i < n\}$ , where  $t_{i,i+1}$  are 2-cycles interchanging *i*-th and (i+1)-th elements of a permutation when multiplied on the right. This graph has the following properties:

- 1. it is a connected graph of order n! and diameter  $\binom{n}{2}$ ;
- 2. it is bipartite (n-1)-regular graph;
- 3. it has no subgraphs isomorphic to  $K_{2,3}$ ;
- 4. it is edge-transitive but not distance-regular and hence not distancetransitive (for n > 3).

This graph arises in computer programming [58] and in computer science to represent interconnection networks [39]. The Star graph  $S_n = Sym_n(st)$  on  $Sym_n$  is generated by transpositions from the set  $st = \{t_{1,i} \in Sym_n, 1 < i \leq n\}$  with the following properties:

- 1. it is a connected graph of order n! and diameter  $\lfloor \frac{3(n-1)}{2} \rfloor$ ;
- 2. it is bipartite (n-1)-regular graph;
- 3. it has no odd cycles but has even cycles of lengths 2 l, where  $3 \leq l \leq \lfloor \frac{n}{2} \rfloor$ ;
- 4. it is edge-transitive but not distance-regular and hence not distancetransitive (for n > 3).

This graph is one of the most investigated in the theory of interconnection networks since many parallel algorithms are efficiently mapped on this graph (see [1, 39, 59]). In [1] it is shown that the diameter of the Star graph is  $\lfloor \frac{3(n-1)}{2} \rfloor$ . Moreover,

$$diam(S_n) = \begin{cases} \frac{3(n-1)}{2}, & \text{if } n \text{ odd,} \\ 1 + \frac{3(n-2)}{2}, & \text{if } n > 3 \text{ even} \end{cases}$$

This is shown by considering the worst cases in a special sorting algorithm and then by giving permutations requiring at least  $\lfloor \frac{3(n-1)}{2} \rfloor$  steps for this algorithm. For odd n, the permutation  $\pi = [13254 \dots n n - 1]$  is considered. It is clear that three steps are needed to sort each pair (i i - 1) in  $\pi$ . Since there are  $\frac{(n-1)}{2}$  such pairs, hence the above diameter follows. Likewise, for even n, the permutation  $\pi = [214365 \dots n n - 1]$  is considered. One can swap position 2 with one, but the remaining  $\frac{(n-2)}{2}$  pairs again each require three. Thus, the indicated diameter again follows.

The Reversal graph  $Sym_n(R)$  is defined on  $Sym_n$  and generated by the reversals from the set  $R = \{r_{i,j} \in Sym_n, 1 \leq i < j \leq n\}$  where a reversal  $r_{i,j}$  is the operation of reversing segments  $[i, j], 1 \leq i < j \leq n$ , of a permutation when multiplied on the right, i.e.  $[\ldots \pi_i \pi_{i+1} \ldots \pi_{j-1} \pi_j \ldots] r_{i,j} = [\ldots \pi_j \pi_{j-1} \ldots \pi_{i+1} \pi_i \ldots]$ . For  $n \geq 3$  this graph has the following properties:

- 1. it is a connected graph of order n! and diameter n-1;
- 2. it is  $\binom{n}{2}$ -regular graph;
- 3. it has no contain triangles nor subgraphs isomorphic to  $K_{2,4}$ ;

4. it is not edge-transitive, not distance-regular and hence not distance-transitive (for n > 3).

The reversal distance between two permutations in this graph, that is the least number of reversals needed to transform one permutation into another, corresponds to the reversal mutations in molecular biology. Reversal distance measures the amount of evolution that must have taken place at the chromosome level, assuming evolution proceeded by inversion. The analysis of genomes evolving by inversions leads to the combinatorial problem of sorting by reversals (for more details see Section 3.4). This graph also appears in coding theory in solving a reconstruction problem [49, 50, 52].

The Pancake graph  $P_n = Cay(Sym_n, PR), n \ge 2$ , is the Cayley graph on  $Sym_n$  with the generating set  $PR = \{r_i \in Sym_n, 2 \le i \le n\}$  of all prefix-reversals  $r_i$  reversing the order of any substring  $[1, i], 2 \le i \le n$ , of a permutation  $\pi$  when multiplied on the right, i.e.  $[\pi_1 \dots \pi_i \pi_{i+1} \dots \pi_n] r_i =$  $[\pi_i \dots \pi_1 \pi_{i+1} \dots \pi_n]$ . For  $n \ge 3$  this graph has the following properties:

- 1. it is a connected graph of order n!;
- 2. it is (n-1)-regular graph;
- 3. it has cycles of length  $6 \leq l \leq n!$ , but has no cycles of lengths 3,4,5;
- 4. it is not edge-transitive, not distance-regular and hence not distance-transitive (for  $n \ge 4$ ).

This graph is well known because of the combinatorial *Pancake problem* which was posed in [28] as the problem of finding its diameter. The problem is still open. Some upper and lower bounds [33, 40] as well as exact values for  $2 \leq n \leq 19$  are known [4, 19]. One of the main difficulties in solving this problem is a complicated cycle structure of the Pancake graph. As it was shown in [43, 76], all cycles of length l, where  $6 \leq l \leq n!$ , can be embedded in the Pancake graph  $P_n$ ,  $n \geq 3$ . In particular, the graph is a Hamiltonian [81]. We will discuss all these problems on the Pancake graph in the next sections.

## Chapter 2

### Hamiltonicity of Cayley graphs

Let  $\Gamma = (V, E)$  be a connected graph where  $V = \{v_1, v_2, \ldots, v_n\}$ . A Hamiltonian cycle in  $\Gamma$  is a spanning cycle  $(v_1v_2 \ldots v_nv_1)$  that visits each vertex exactly once, and a Hamiltonian path is a path  $(v_1v_2 \ldots v_n)$ . A graph is Hamiltonian if it contains a Hamiltonian cycle. The Hamiltonicity problem, that is to check whether a graph is a Hamiltonian, was stated by Sir W.R. Hamilton in the 1850s (see [37]). Studying the Hamiltonian property of graphs is a favorite problem for graph and group theorists. Testing whether a graph is Hamiltonian is an NP-complete problem [32]. Hamiltonian paths and cycles naturally arise in computer science (see [59]), in the study of word-hyperbolic groups and automatic groups (see [29]), and in combinatorial designs (see [27]). For example, Hamiltonicity of the hypercube  $H_n$  is connected to a Gray code.

#### 2.1 Hypercube graphs and a Gray code

A hypercube graph  $H_n = L_n(2)$  is a particular case of the Hamming graph (see Section 1.3.2). It is a *n*-regular graph with  $2^n$  vertices presented by vectors of length *n*. Two vertices are adjacent if and only if the corresponding vectors differ exactly in one position. The hypercube graph  $H_n$ is, equivalently, the cartesian product of *n* two-vertex complete graphs  $K_2$ . It is also a Cayley graph on the finite additive group  $\mathbb{Z}_2^n$  with the generating set  $S = \{(\underbrace{0,\ldots,0}_{i},1,\underbrace{0,\ldots,0}_{n-i-1}), 0 \leq i \leq n-1\}$ , where |S| = n.

It is well known fact that every hypercube graph  $H_n$  is Hamiltonian for n > 1, and any Hamiltonian cycle of a labeled hypercube graph defines a

Gray code [77]. More precisely there is a bijective correspondence between the set of n-bit cyclic Gray codes and the set of Hamiltonian cycles in the hypercube  $H_n$ . By the definition, the *reflected binary code*, also known as a *Gray code* after Frank Gray, is a binary numeral system where two successive values differ in only one bit.

The Gray code list for n bits can be generated recursively from the list for n-1 bits by reflecting the list (i.e. listing the entries in reverse order), concatenating the original list with the reversed list, prefixing the entries in the original list with a binary 0, and then prefixing the entries in the reflected list with a binary 1. For example, generating the n = 3 list from the n = 2 list we have:

STEP 1. 2-bit list: 00,01,11,10; reflected : 10,11,01,00;

STEP 2. prefix old entries with 0 : 000,001,011,010; prefix new entries with 1: 110,111,101,100;

STEP 3. concatenated: 000,001,011,010,110,111,101,100.

So, for n = 3 the Gray code can be also presented as:

000 001 011 010 | 110 111 101 100.

and for n = 4 the Gray code is presented as:

 $0000\ 0001\ 0011\ 0010\ 0110\ 0111\ 0101\ 0100\ |\ 1100\ 1101\ 1111\ 1110\ 1010\ 1011\ 1001$ 

By the definition, Gray codes define the set of vectors of the hypercube graphs such that two successive vectors differ in only one bit. Hence, Gray codes correspond to the Hamiltonian path in the hypercube graphs. Moreover, since the first and last vectors also differ in one position we actually have the Hamiltonian cycles. The hypercube graphs  $H_2, H_3, H_4$ and their Hamiltonian cycles are presented in Figure 9.



Figure 9. The hypercubes  $H_2, H_3, H_4$  and their Hamiltonian cycles.

Now let us consider combinatorial conditions for Hamiltonicity of Cayley graphs obtained in the middle of 20th century and presented in the modern style of proofs by Igor Pak and Radoš Radoičić [68].

#### 2.2 Combinatorial conditions for Hamiltonicity

It seems that the problem of finding Hamiltonian cycles in Cayley graphs was suggested for the first time by Rapaport–Strasser in 1959 [72]. Let G be a finite group with a generating set S and  $|S| \leq 3$ . In this section we consider simple relations on generators which suffice to prove that the Cayley graph  $\Gamma = (G, S)$  contains a Hamiltonian cycle.

An element  $\alpha \in G$  is called an *involution*, if  $\alpha^2 = 1$ .

**Theorem 2.2.1** [72] Let G be a finite group generated by three involutions  $\alpha, \beta, \gamma$  such that  $\alpha\beta = \beta\alpha$ . Then the Cayley graph  $\Gamma = Cay(G, \{\alpha, \beta, \gamma\})$  has a Hamiltonian cycle.

*Proof.* For every  $z \in G$  and every  $X \subset G$ , denote

$$\vartheta_z(X) = \{ g \in G \setminus X : g = xz, x \in X \}.$$

Denote by  $H = \langle \beta, \gamma \rangle$  a subgroup of G of order |H| = 2m. Let  $X_1 = H$ . Since H is a dihedral group,  $X_1$  contains a Hamiltonian cycle:

$$1 \to \beta \to \beta \gamma \to \beta \gamma \beta \to \dots \to (\beta \gamma)^{m-1} \beta \to (\beta \gamma)^m = 1$$
 (2.1)

We shall construct a Hamiltonian cycle in  $\Gamma$  by induction. At step *i* we obtain a cycle which spans set  $X_i \subset G$ . Further, each  $X_i$  will satisfy the condition  $\vartheta_\beta(X_i) = \vartheta_\gamma(X_i) = \emptyset$ . This is equivalent to saying that each  $X_i$  is a union of left cosets of *H* in *G*, where a left coset of *H* in *G* is the set  $gH = \{gh \mid h \in H\}$  with  $g \in G$ . By definition,  $\vartheta_\beta(X_1) = \vartheta_\gamma(X_1) = \emptyset$ . This establishes the base of induction.

Now suppose  $X_i$  is as above. Then either  $\vartheta_{\alpha}(X_i) = \emptyset$ , in which case the spanning cycle in  $X_i = G$  is the desired Hamiltonian cycle. Otherwise, there exist  $y \in \vartheta_{\alpha}(X_i) \subset G \setminus X_i$ . Observe that  $yH \cap X_i = \emptyset$ , since otherwise  $yh = x \in X_i$  for some  $h \in H$ . This implies that  $y = xh^{-1} \in X_i$ , since  $h \in \langle \beta, \gamma \rangle$  and  $z\beta, z\gamma \in X$  for all  $z \in X$ .

Let  $X_{i+1} = X_i \cup yH$ . Clearly,  $\vartheta_{\beta}(X_{i+1}) = \vartheta_{\gamma}(X_{i+1}) = \emptyset$ . By inductive assumption,  $x = y\alpha \in X_i$  lies on a cycle which spans  $X_i$ . Then x must be connected to  $x\beta$  and  $x\gamma$ , as  $x\alpha^{-1} = y \notin X_i$ . Consider a cycle in yH, obtained by multiplying cycle in (2.1) by y. Recall that  $\alpha\beta = \beta\alpha$ . This implies  $x\beta\alpha = y\beta$ . Remove edges  $\{x, x\beta\}$  and  $\{y, y\beta\}$  from cycles in  $X_i$ and yH, and add edges  $\{x, y\}$  and  $\{x\beta, y\beta\}$ . This gives a cycle which spans  $X_{i+1}$ , and complete the proof.

As an example, let us consider  $G = Sym_{2n+1}$  and three involutions

$$\alpha = (12),$$
  
 $\beta = (12)(34) \cdots (2n - 1 2n),$   
 $\gamma = (23)(45) \cdots (2n 2n + 1)$ 

(we use cycle notation here). Observe that

$$\beta \gamma = (135...2n - 12n + 12n2n - 2...42),$$

so  $\langle \alpha, \beta, \gamma \rangle = Sym_{2n+1}$ . Note that  $\alpha\beta = \beta\alpha$ . Then Theorem 2.2.1 implies that the Cayley graph  $\Gamma = Cay(G, \{\alpha, \beta, \gamma\})$  has a Hamiltonian cycle.

Cayley graphs on finite groups generated by two elements were considered by Rankin [71] in 1966. He obtained the following result.

**Theorem 2.2.2** [71] Let G be a finite group generated by two elements  $\alpha, \beta$  such that  $(\alpha\beta)^2 = 1$ . Then the Cayley graph  $\Gamma = Cay(G, \{\alpha, \beta\})$  has a Hamiltonian cycle.

Proof. Again, we use the same inductive assumption as in Theorem 2.2.1. Moreover, we need a new simple label condition. Let  $H = \langle \beta \rangle$ ,  $X_1 = H$ , and assume that  $\vartheta_{\alpha}(X_i) = \vartheta_{\alpha^{-1}}(X_i) = \emptyset$ . We also assume, by induction, that restriction of  $\Gamma$  to  $X_i$  contains an oriented Hamiltonian cycle  $C_i$  which contains only labels  $\beta$  and  $\alpha^{-1}$ . We call these the *label conditions*.

The base of induction is obvious, namely  $\vartheta_{\alpha}(X_1) = \vartheta_{\alpha^{-1}}(X_1) = \emptyset$ .

For the step of induction, consider  $y = x\alpha \in \vartheta_{\alpha}(X_i) \setminus X_i$ . Note that the edge oriented towards  $x \in X_i$  in  $C_i$  cannot have label  $\alpha^{-1}$  (otherwise it is  $\{y, x\}$  whereas  $y \notin X_i$ ), nor labels  $\alpha$  or  $\beta^{-1}$  (by the label conditions). Therefore, this edge has the only remaining label  $\beta$ , and  $\{x\beta^{-1}, x\} \in C_i$ .

Now consider a cycle R on yH with labels  $\beta$  on all edges, and observe that

$$x \to x \alpha = y \to x \alpha \beta = y \beta \to x \beta^{-1} = x \alpha \beta \alpha \to x$$

is a square which connects R and  $C_i$ . Formally, let

$$C_{i+1} = C_i \cup R + \{x, y\} + \{y\beta, x\beta^{-1}\} - \{x\beta^{-1}, x\} - \{y, y\beta\}$$

and observe that  $C_i$  is a Hamiltonian cycle on  $X_{i+1} = X_i \cup yH$ . Let  $C_{i+1}$  inherit the orientation from  $C_i$  and check that now  $C_{i+1}$  satisfies the label conditions with respect to the orientation.

In the case when  $y = x\alpha^{-1} \notin X_i$ , we consider the edge leaving  $x \in X_i$ and proceed verbatim. If  $\vartheta_{\alpha}(X_i) = \vartheta_{\alpha^{-1}}(X_i) = \emptyset$ , we have  $X_i = G$  which completes the proof.

As an example, let us consider  $G = Sym_n$ ,  $\alpha = (12...n)$ ,  $\beta = (23...n)$ . Then  $\alpha\beta^{-1} = (1n)$  is an involution, and by Theorem 2.2.2 the Cayley graph  $\Gamma = Cay(G, \{\alpha, \beta\})$  has a Hamiltonian cycle.

The both theorems are presented here with respect to the proof given by Pak and Radoičić [68]. In Section 2.4 we will use these proofs to show the result by Pak and Radoičić on Hamiltonicity of Cayley graphs on finite groups with a small generating set.

#### 2.3 Lovász and Babai conjectures

There is a famous Hamiltonicity problem for vertex–transitive graphs which was posed by László Lovász in 1970 and well–known as follows.

**Problem 2.3.1** Does every connected vertex-transitive graph with more than two vertices have a Hamiltonian path?

To be more precisely he stated a research problem in [63] asking how one can

"... construct a finite connected undirected graph which is symmetric and has no simple path containing all the vertices. A graph is symmetric if for any two vertices x and y it has an automorphism mapping x onto y."

However, traditionally (see [25]) the problem is formulated in the positive and considered as the Lovász conjecture that every vertex-transitive graph has a Hamiltonian path.

There are only four vertex-transitive graphs on more than two vertices which do not have a Hamiltonian cycle, and all of these graphs have a Hamiltonian path. They are the Petersen graph, the Coxeter graph (it is a unique cubic distance-regular graph with intersection array  $\{3, 2, 2, 1; 1, 1, 1, 2\}$  on 28 vertices and 42 edges presented in Figure 10) and graphs obtained from each of these two graphs by replacing each vertex with a triangle and joining the vertices in a natural way. In particular, it is unknown of a vertex-transitive graph without a Hamiltonian path. Furthermore, it was noted that all of the above four graphs are not Cayley graphs. So several people made the following conjecture.

**Conjecture 2.3.2** Every connected Cayley graph on a finite group has a Hamiltonian cycle.

However, there is no consensus among experts what the answer on the problem above will be. In particular, Bojan Mohar and Laszlo Babai both made conjectures which are sharply critical of the Lovász problem. In 1996 Babai [6] made the following conjecture.



Figure 10. The Coxeter graph

**Conjecture 2.3.3** [6] For some  $\varepsilon > o$ , there exist infinitely many connected vertex-transitive graphs (even Cayley graphs)  $\Gamma$  without cycles of length  $\ge (1 - \varepsilon)|V(\Gamma)|$ .

Later Mohar [66] investigated the matching polynomial  $\mu(\Gamma, x)$  of a graph  $\Gamma$  on n vertices defined as  $\mu(\Gamma, x) = \sum_{0}^{[n/2]} (-1)^k p(\Gamma, k) x^{n-2k}$ , where  $p(\Gamma, k)$  is the number of k-matching in  $\Gamma$ , and formulated the following conjecture.

**Conjecture 2.3.4** [66] For every integer p there exists a vertex-transitive graph whose matching polynomial has a root of multiplicity at least p.

It is known (see [36]) that a graph whose matching polynomial has a nonsimple root has no a Hamiltonian path. Hence, if such a vertex– transitive graph exists then Lovász conjecture will be disproved.

All these conjectures are still open. Most results obtained so far about the first conjecture on Cayley graphs were surveyed in 1996 by S. J. Curran and J. A. Gallian in [25] for abelian and dihedral groups, for groups of special orders, and certain extensions.

Let us recall that an *abelian group* is a group such that the order in which the binary operation is performed doesn't matter, and the *dihedral group* of order 2n is the abstract group consisting of n elements corresponding to rotations of the polygon, and n corresponding to reflections. In 1983 it was proved by Dragan Marušič [65] that this conjecture is true for abelian groups.

**Theorem 2.3.5** [65] A Cayley graph  $\Gamma = Cay(G, S)$  of an abelian group G with at least three vertices contains a Hamiltonian cycle.

In 1989 Brian Alspach and Cun–Quan Zhang proved that every cubic Cayley graph of a dihedral group is Hamiltonian [2].

A rare positive result for all finite groups was obtained in 2009 by Pak and Radoičić [68].

**Theorem 2.3.6** [68] Every finite group G of size  $|G| \ge 3$  has a generating set S of size  $|S| \le \log_2 |G|$  such that the corresponding Cayley graph  $\Gamma = Cay(G, S)$  has a Hamiltonian cycle.

This theorem shows that every finite group G has a Hamiltonian Cayley graph with a generating set of small size. The bound on S is reached on the group  $G = \mathbb{Z}_2^n$  for which the size of its smallest generating set is equal to  $\log_2 |G|$ . For other groups the size of a generating set is much smaller. For example, for all finite simple groups it is equal to two. This result can be also considered as a corollary of the following natural conjecture.

**Conjecture 2.3.7** [68] There exists  $\varepsilon > 0$ , such that for every finite group G and every  $k \ge \varepsilon \log_2 |G|$ , the probability P(G, k) that the Cayley graph  $\Gamma = Cay(G, S)$  with a random generating set S of size k contains a Hamiltonian cycle, satisfies  $P(G, k) \to 1$  as  $|G| \to \infty$ .

On one hand, this conjecture is much weaker than the Lovász conjecture. On the other hand, it also does not contradict the Babai conjecture. A work by Michael Krivelevich and Benny Sudakov [57] shows that for every  $\varepsilon > 0$  a Cayley graph  $\Gamma = Cay(G, S)$  with large enough |G|, formed by choosing a set S of  $\varepsilon(\log_2 |G|)^5$  random generators in a group G, is almost surely Hamiltonian. Thus, they reduce the bound in Conjecture 2.3.7 down to  $k \ge \varepsilon(\log_2 |G|)^5$ .

We present the proof of Theorem 2.3.6 in the next Section.

#### 2.4 Hamiltonicity of Cayley graphs on finite groups

Let G be a finite group of order n and  $H \subset G$  be a subgroup of G. Then for  $g \in G$  the sets  $gH = \{gh \mid h \in H\}$  and  $Hg = \{hg \mid h \in H\}$  are left and right cosets of H in G. A subgroup H of a group G is called a *normal* subgroup  $(H \lhd G)$  if the sets of left and right cosets of this subgroup in G coincide, i.e. gH = Hg for any  $g \in G$ . A simple group is a nontrivial group whose only normal subgroups are the trivial group and the group itself.

A factor group G/H of a group G with a normal subgroup H is called the set of all cosets of H such that (aH)(bH) = (ab)H. A composition series of a group G is a subnormal series such that

$$e = H_0 \triangleleft H_1 \triangleleft \ldots \triangleleft H_n = G,$$

with strict inclusions, where  $H_i$  is a maximal normal subgroup of  $H_{i+1}$  for all  $0 \leq i \leq n$ . Equivalently, a composition series is a subnormal series such that each factor group  $H_{i+1}/H_i$  is simple. The factor groups are called *composition factors*.

We need the following simple "reduction lemma".

**Lemma 2.4.1** Let G be a finite group and let  $H \triangleleft G$  be a normal subgroup. Suppose  $S = S_1 \cup S_2$  is a generating set of G such that  $S_1 \subset H$  generate H, and projection  $S'_2$  of  $S_2$  onto G/H generates G/H. Suppose both  $\Gamma_1 = Cay(H, S_1)$  and  $\Gamma_2 = Cay(G/H, S'_2)$  contain Hamiltonian paths. Then  $\Gamma = Cay(G, S)$  also contains a Hamiltonian path.

*Proof.* Let  $\Gamma = Cay(G, S)$  be a Cayley graph which contains a Hamiltonian path. By vertex-transitivity of  $\Gamma$  one can arrange this path to start at any vertex  $g \in G$ .

Let k = |G/H| and let  $g_1 = e \in G$ . Consider a Hamiltonian path in the Cayley graph  $\Gamma_2 = Cay(G/H, S'_2)$ :

$$H \to Hg_1 \to Hg_2 \to Hg_3 \to \ldots \to Hg_k.$$

Now proceed by induction in a manner similar to that in the proof of Theorem 2.2.1. Fix a Hamiltonian path in the coset  $Hg_1$  so that  $e \in G$  is its starting point. Suppose  $h_1g_1$  is its endpoint. Add an edge  $\{h_1g_1, h_1g_2\} \in \Gamma$  and consider a Hamiltonian path in the coset  $Hg_2$  starting at  $h_1g_2$ . Suppose

 $h_2g_2$  is its endpoint. Repeat until the resulting path ends at  $h_kg_k$ . This complete the construction and proves the Lemma.

Let l(G) be the number of composition factors of G. Denote r(G) and m(G) the number of abelian and non-abelian composition factors, respectively. Clearly, l(G) = r(G) + m(G).

**Theorem 2.4.2** Let G be a finite group and r(G), m(G) be as above. Then there exists a generating set S of G with  $|S| \leq r(G) + 2m(G)$  such that the corresponding Cayley graph  $\Gamma = Cay(G, S)$  contains a Hamiltonian path.

*Proof.* It is a well known corollary from the classification of finite simple group, that every non-abelian finite simple group can be generated by two elements, one of which is an involution. So, Theorem 2.2.2 is applicable, and every non-abelian finite simple group produces a generating set S, |S| = 2, such that the corresponding Cayley graph contains a Hamiltonian cycle. If the group G is cyclic, a single generators suffices, of course.

Now we use Lemma 2.4.1. Observe that in notation Lemma 2.4.1, any generating set  $S'_2$  of G/H can be lifted to  $S_2 \subset G$ , so that  $S = S_1 \cup S_2$  is a generating set of G. Therefore, if H and G/H have generating sets of size  $k_1$  and  $k_2$ , respectively, so that the corresponding Cayley graphs contain Hamiltonian paths, then G contains such a generating set of size  $k_1 + k_2$ .

Now fix any composition series of a finite group G. By Lemma 2.4.1, we can construct a generating set of size r(G) + 2m(G), so that the corresponding Cayley graph  $\Gamma = Cay(G, S)$  has a Hamiltonian path. This completes the proof of Theorem.

Now we are ready to prove Theorem 2.3.6.

#### Proof of Theorem 2.3.6

*Proof.* We deduce it from Theorem 2.4.2. Fix a composition series of G. Let r = r(G) and m = m(G). Denote by  $K_1, \ldots, K_r$  and  $L_1, \ldots, L_m$  the abelian and non-abelian composition factors of G, respectively. Since the smallest simple non-abelian group  $A_5$  has order 60, then  $|L_j| \ge 60 > 4$  for any  $j \in \{1, \ldots, m\}$ . We have:

$$2^{r+2m} = 2^r \cdot 4^m \leqslant \prod_{i=1}^r |K_i| \cdot \prod_{j=1}^m |L_i| = |G|.$$

Therefore,  $r(G) + 2m(G) \leq \log_2|G|$ , with the equality attained only for  $G \cong \mathbb{Z}_2^n$ . In the latter case, when  $n \geq 2$ , an elementary inductive argument (or a Gray code (see Section 2.1)) gives a Hamiltonian cycle. In other cases, one can add to a generating set, one extra element, which connects the endpoints of a Hamiltonian path. This gives the desired Hamiltonian cycle and completes the proof.

## 2.5 Hamiltonicity of Cayley graphs on the symmetric group

Some results for Cayley graphs on the symmetric group  $Sym_n$  generated by transpositions are known. These graphs have been proposed as models for the design and analysis of interconnection networks (see [39, 59]). Moreover, Hamiltonian paths in Cayley graphs on  $Sym_n$  provide an algorithm for creating the elements of  $Sym_n$  from a particular generating set. The following result was proved by Vladimir Kompel'makher and Vladimir Liskovets [48] in 1975.

**Theorem 2.5.1** [48] The graph  $Cay(Sym_n, S)$  is Hamiltonian whenever S is a generating set for  $Sym_n$  consisting of transpositions.

This result was generalized by Tchuente [78] in 1982 as follows.

**Theorem 2.5.2** [78] Let S be a generating set of transpositions for  $Sym_n$ . Then there is a Hamiltonian path in the graph  $Cay(Sym_n, S)$  joining any permutations of opposite parity.

Thus, by these statements Cayley graphs on the symmetric group  $Sym_n$ , generated by any sets of transpositions, are Hamiltonian. Independently, a number of results were shown for particular sets of generators based on transpositions. In 1991 it was shown by J.–S. Jwo et al. [42] that the Star graph  $Sym_n(st)$  is Hamiltonian, and by Jwo [41] that the Bubble– sort graph  $Sym_n(t)$  is Hamiltonian. Hamiltonian properties of a Cayley graph generated by transpositions  $(l_2), (l \cdots n), (n \cdots l)$  were considered in 1993 by R. C. Compton and S. G. Williamson [24]. They defined a doubly adjacent Gray code for the symmetric group  $Sym_n$ , gave a procedure for constructing such a Gray code and showed that this code correspond to a Hamiltonian cycle in the corresponding Cayley graph for  $n \ge 3$ .

Hamiltonicity of the Pancake graph  $P_n$  has been investigated independently by Shmuel Zaks [81] in 1984, by Jwo [41] in 1991, by Arkady Kanevsky and Chao Feng [43] in 1995, by Jyh–Jian Sheu et al. [76] in 1999. As for a work of Zaks, he didn't consider the Pancake graph but he presented a new algorithm for generating permutations which exactly gives a Hamiltonian cycle in this graph.

**Theorem 2.5.3** The Pancake graph  $P_n$  is Hamiltonian for any  $n \ge 3$ .

In the next section we present two algorithms to generate a Hamiltonian cycle in the Pancake graph.

#### 2.6 Hamiltonicity of the Pancake graph

Let us recall that the Pancake graph  $P_n$ ,  $n \ge 2$ , is the Cayley graph on the symmetric group  $Sym_n$  of permutations  $\pi = [\pi_1 \pi_2 \dots \pi_n]$ , where  $\pi_i = \pi(i)$ ,  $1 \le i \le n$ , with the generating set  $PR = \{r_i \in Sym_n : 2 \le i \le n\}$  of all prefix-reversals  $r_i$  reversing the order of any substring  $[1, i], 2 \le i \le n$ , of a permutation  $\pi$  when multiplied on the right, i.e.

$$[\pi_1 \ldots \pi_i \pi_{i+1} \ldots \pi_n] r_i = [\pi_i \ldots \pi_1 \pi_{i+1} \ldots \pi_n].$$

It is a connected vertex-transitive (n-1)-regular graph of order n!.

Moreover, the Pancake graph  $P_n$ ,  $n \ge 3$ , has a hierarchical structure such that for any  $n \ge 3$  it consists of n copies  $P_{n-1}(i)$ ,  $1 \le i \le n$ , where the vertex set is presented by all permutations with a fixed element at the last position:

$$V^{i} = \{ [\pi_{1} \dots \pi_{n-1}i], \text{ where } \pi_{k} \in \{1, \dots, n\} \setminus \{i\} : 1 \leq k \leq n-1 \},\$$

where  $|V^i| = (n-1)!$ , and the edge set is presented by the set:

$$E_i = \{\{[\pi_1 \dots \pi_{n-1} i], [\pi_1 \dots \pi_{n-1} i] r_j\} : 2 \leq j \leq n-1\},\$$

where  $|E_i| = \frac{(n-1)!(n-2)}{2}$ .


Figure 11. The hierarchical structure of  $P_2$ ,  $P_3$  and  $P_4$ .

There are (n-2)! external edges between any two copies  $P_{n-1}(i)$  and  $P_{n-1}(j), i \neq j$ , presented as  $\{[i \pi_2 \ldots \pi_{n-1} j], [j \pi_{n-1} \ldots \pi_2, i]\}$ , where

$$[i \pi_2 \ldots \pi_{n-1} j] r_n = [j \pi_{n-1} \ldots \pi_2 i].$$

As one can see, these edges are defined by the prefix-reversal  $r_n$ . Prefixreversals  $r_j$ ,  $2 \leq j \leq n-1$ , define *internal edges* in all n copies  $P_{n-1}(i)$ ,  $1 \leq i \leq n$ . Copies  $P_{n-1}(i)$ , or just  $P_{n-1}$  when it is not important to specify the last element of permutations belonging to the copy, are also called (n-1)-copies. Figure 11 shows the hierarchical structure of  $P_2$ ,  $P_3$ ,  $P_4$ .

## 2.6.1 Hamiltonicity based on hierarchical structure

We shall construct a Hamiltonian cycle in the Pancake graph by induction on the size k of the graph  $P_k$ ,  $k \ge 3$ , with respect to the proof given in [76]. A similar approach was also used in [43]. When k = 3 then  $P_k \cong C_6$ , hence it is Hamiltonian with the following cycle representation:

 $[123] \xrightarrow{r_2} [213] \xrightarrow{r_3} [312] \xrightarrow{r_2} [132] \xrightarrow{r_3} [231] \xrightarrow{r_2} [321] \xrightarrow{r_3} [123].$ 

It is obvious that by removing one edge from this cycle we get a Hamiltonian path.

Now we suppose that we have a Hamiltonian cycle for k = n - 1. Let us show that this is also true for k = n.

We construct a Hamiltonian cycle  $H_n$  by using the hierarchical structure of the graph. Since  $P_n$  is a vertex-transitive then without loss of generality one can start with a vertex  $\pi_1 = I_n = [12...(n-1)n] \in P_{n-1}(n)$ .

By inductive assumption there is a Hamiltonian cycle  $H_{n-1}^n$  in a copy  $P_{n-1}(n)$ . We remove an edge  $\{ [12...(n-1)n], [(n-1)...21n] \}$  from  $H_{n-1}^n$ and denote a Hamiltonian path as  $L_{n-1}^n$ , where *n* represents a corresponding (n-1)-copy. A vertex  $\pi_2 = [(n-1) \dots 21 n]$  in a copy  $P_{n-1}(n)$  is connected to a vertex  $\pi_3 = [n \ 12 \dots (n-2) \ (n-1)]$  by an external edge. Moreover, by our inductive assumption there exists a Hamiltonian cycle in a copy  $P_{n-1}(n-1)$ . Then we remove an edge  $\{[n \ 12 \dots (n-2) \ (n-1)], [(n-1)], [(n-1)]$  $2) \dots 1n(n-1)$  from this Hamiltonian cycle and obtain a Hamiltonian path  $L_{n-1}^{n-1}$ . Again, a vertex  $\pi_4 = [(n-2) \dots 1 n (n-1)]$  is connected by an external edge to a vertex  $\pi_5 = [(n-1)n1...(n-3)(n-2)]$  of a copy  $P_{n-1}(n-2)$  which also has, by inductive assumption, a Hamiltonian cycle. Constructing a Hamiltonian path in this manner for all copies  $P_{n-1}(j)$ ,  $1 \leq j \leq n-2$ , finally we have paths  $L_{n-1}^{n-2}, \ldots, L_{n-1}^{1}$  that are connected to each other in sequence by an external edge. The last path  $L_{n-1}^1$  ends a vertex  $\pi_{2n} = [n (n-1) \dots 21]$ , connected with a vertex  $\pi_1$  of a copy  $P_{n-1}(n)$ . Let us note that we started our construction with this copy.

Thus, by combining all these paths  $L_{n-1}^n, L_{n-1}^{n-1}, \ldots, L_{n-1}^1$  with all external edges between them, finally we obtain a Hamiltonian cycle  $H_n$ . This completes a construction.

Figure 12 shows the way to construct a Hamiltonian cycle by the construction above.



Figure 12. A Hamiltonian cycle of the Pancake graph

A different way to construct a Hamiltonian cycle was considered by Zaks [81] when he generated the permutation in some special order. In his algorithm each successive permutation is generated by reversing a suffix of the preceding permutation. From symmetrical point of view, it is the same as consider a prefix of a permutation that is used in the Pancake graph.

## 2.6.2 The generating algorithm by Zaks

We start with the identity permutation  $I_n = [12...n]$  and in each step reverse a certain suffix. The sequence of sizes of these suffixes is denoted by  $\zeta_n$  and is defined by recursively as follows (a sequence is written as a concatenation of its elements):

$$\zeta_2 = 2 \zeta_n = (\zeta_{n-1} n)^{n-1} \zeta_{n-1}, n > 2.$$

For example, if n = 2 then  $\zeta_2 = 2$  and we have:

 $[\underline{12}]$  [21]

If n = 3 then  $\zeta_3 = 23232$  and we have:

 $\begin{bmatrix} 123 \\ 231 \end{bmatrix} \begin{bmatrix} 312 \\ 321 \end{bmatrix}$  $\begin{bmatrix} 132 \\ 213 \end{bmatrix} \begin{bmatrix} 321 \end{bmatrix}$ 

If n = 4 then  $\zeta_4 = 23232423232423232423232$  and we have:

[12 <u>34]</u>	[23 <u>41]</u>	$[34\underline{12}]$	[41 <u>23</u> ]
[1 <u>243]</u>	[2 <u>314]</u>	[3 <u>421]</u>	[4 <u>132</u> ]
[13 <u>42]</u>	[24 <u>13]</u>	$[31\underline{24}]$	[42 <u>31]</u>
[1 <u>324]</u>	[2 <u>431]</u>	[3 <u>142]</u>	[4 <u>213</u> ]
[14 <u>23]</u>	$[21\underline{34}]$	$[32\underline{41}]$	[43 <u>12</u> ]
[ <u>1432</u> ]	[ <u>2143]</u>	[ <u>3214]</u>	[4321]

We prove validity of this generating algorithm by induction on n that, starting with the permutation  $[12 \dots n]$  and applying the sequence  $\zeta_n$  of suffix reversals, we generate all n! permutations ending with the permutation  $[n n - 1 \dots 1]$ .

The assertion holds for n = 2. Assuming it holds for n-1, we prove it for  $n: \zeta_n$  starts with  $\zeta_{n-1}$ . Hence, starting with [12...n] we first generate the (n-1)! permutations that start with 1 and, by the induction assumption, the last one is [1nn - 1...2]. The next element in  $\zeta_n$  is n, hence the next permutation generated is [23...n1]. The following elements thus generated are all permutations starting with 2. Continuing in this manner, we then generate the permutations starting with 3...n.

Moreover, because the first permutation starting with 2 ([23...n1]) is obtained from the first permutation starting with 1 ([12...n]) by increasing each element by 1 (while *n* becomes 1), and because both the permutations starting with 1 and whose starting with 2 are generated by the sequence  $\zeta_{n-1}$ , therefore the last permutation starting with 2 is derived from [1nn -1...2] (the last permutation starting with 1) in the same manner, namely, it is [21n...3]. Continuing in this manner it is easy to show that the first permutation starting with  $i, 1 < i \leq n$ , is [ii + 1...n12...i - 1] and the last one is [ii - 1...1nn - 1...i + 1] (it is [nn - 1...1] for i = n). The proof is thus complete.  $\Box$ 

## 2.7 Other cycles of the Pancake graph

It is also known that the Pancake graph  $P_n$ ,  $n \ge 3$ , contains many other cycles. In 1995 it was shown by Arkady Kanevsky and Chao Feng [43] that all cycles of length l, where  $6 \le l \le n! - 2$  and l = n! can be embedded in the Pancake graph  $P_n$ ,  $n \ge 3$ . In 1999 it was shown by Jyh–Jian Sheu etc. [76] that a cycle of length l = n! - 1 can be also embedded in the Pancake graph  $P_n$ ,  $n \ge 3$ . So, finally the following result takes place.

**Theorem 2.7.1** [43, 76] All cycles of length l, where  $6 \le l \le n!$ , can be embedded in the Pancake graph  $P_n$ ,  $n \ge 3$ , but there are no cycles of length 3, 4, or 5.

An explicit description of cycles is gradually being developed. The first results concerning cycle characterization in the Pancake graph were obtained in [53] where the following cycle representation via a product of generating elements was used.

A sequence of prefix-reversals  $C_l = r_{i_0} \dots r_{i_{l-1}}$ , where  $2 \leq i_j \leq n$ , and  $i_j \neq i_{j+1}$  for any  $0 \leq j \leq l-1$ , such that  $\pi r_{i_0} \dots r_{i_{l-1}} = \pi$ , where  $\pi \in Sym_n$ , is called a form of a cycle  $C_l$  of length l. A cycle  $C_l$  of length l is also called an l-cycle, and a vertex of  $P_n$  is identified with the permutation which corresponds to this vertex. It is evident that any l-cycle can be presented by 2l forms (not necessarily different) with respect to a vertex and a direction. The canonical form  $C_l$  of an l-cycle is called a form with a lexicographically maximal sequence of indices  $i_0 \dots i_{l-1}$ . Two cycles in a graph are *independent* if they do not have any vertex in common.

In this Section we present results on the full characterization of cycles of length 6, 7 and 8 in the Pancake graph. The proof for 9–cycles one could be found in [54].

The main results of this Section are presented by the following theorems.

**Theorem 2.7.2** [53] The Pancake graph  $P_n$ ,  $n \ge 3$ , has  $\frac{n!}{6}$  independent 6-cycles of the canonical form

$$C_6 = r_3 r_2 r_3 r_2 r_3 r_2. (2.2)$$

Moreover, each of the vertices of  $P_n$  belongs to exactly one 6-cycle.

**Theorem 2.7.3** [53] The Pancake graph  $P_n$ ,  $n \ge 4$ , has n! (n-3) distinct 7-cycles of the canonical form

$$C_7 = r_k r_{k-1} r_k r_{k-1} r_{k-2} r_k r_2, (2.3)$$

where  $4 \leq k \leq n$ . Moreover, each of the vertices of  $P_n$  belongs to 7(n-3) distinct 7-cycles and there are  $\frac{n!}{8} \leq n_7 \leq \frac{n!}{7}$  independent 7-cycles.

As one can see, the descriptions of 6–cycles and 7–cycles are not so complicated. However, the situation is changed dramatically for 8–cycles.

**Theorem 2.7.4** [55] Each of vertices of the Pancake graph  $P_n$ ,  $n \ge 4$ , belongs to  $N_8$  distinct 8-cycles of the following canonical forms:

$$\begin{aligned} C_8^1 &= r_k r_j r_i r_j r_k r_{k-j+i} r_i r_{k-j+i}, & 2 \leqslant i < j \leqslant k-1, \ 4 \leqslant k \leqslant n, \ (2.4) \\ C_8^2 &= r_k r_{k-1} r_2 r_{k-1} r_k r_2 r_3 r_2, & 4 \leqslant k \leqslant n, \ (2.5) \\ C_8^3 &= r_k r_{k-i} r_{k-1} r_i r_k r_{k-i} r_{k-1} r_i, & 2 \leqslant i \leqslant k-2, \ 4 \leqslant k \leqslant n, \ (2.6) \\ C_8^4 &= r_k r_{k-i+1} r_k r_i r_k r_{k-i} r_{k-1} r_{i-1}, & 3 \leqslant i \leqslant k-2, \ 5 \leqslant k \leqslant n, \ (2.7) \\ C_8^5 &= r_k r_{k-1} r_{i-1} r_k r_{k-i+1} r_k r_i r_k r_i, & 3 \leqslant i \leqslant k-2, \ 5 \leqslant k \leqslant n, \ (2.8) \\ C_8^6 &= r_k r_{k-1} r_k r_{k-i} r_{k-i-1} r_k r_i r_{i+1}, & 2 \leqslant i \leqslant k-3, \ 5 \leqslant k \leqslant n, \ (2.9) \\ C_8^7 &= r_k r_{k-j+1} r_k r_i r_k r_{k-j+1} r_k r_i, & 2 \leqslant i < j \leqslant k-1, \ 4 \leqslant k \leqslant n, \ (2.10) \\ C_8^8 &= r_4 r_3 r_4 r_3 r_4 r_3 r_4 r_3, & (2.11) \end{aligned}$$

where  $N_8 = \frac{n^3 + 12n^2 - 103n + 176}{2}$ . Moreover, there are  $\frac{n!N_8}{8}$  distinct 8-cycles and a maximal set of independent 8-cycles will contain  $\frac{n!}{8}$  of these.

Proofs of these results are based on the hierarchical structure of the Pancake graph. We also need some new definitions and notations.

A segment  $[\pi_i \dots \pi_j]$  of a permutation  $\pi = [\pi_1 \dots \pi_i \dots \pi_j \dots \pi_n]$  consists of all elements that lie between  $\pi_i$  and  $\pi_j$  inclusive. Any permutation can be written as a sequence of singleton and multiple segments. We use characters from  $\{i, j, k\}$  to denote singletons and characters from  $\{\alpha, \beta, \gamma\}$ to denote multiple segments. For example,  $\pi = [i \pi_2 \pi_3 \pi_4 j \pi_6 \pi_7 \pi_8 k]$  can be presented as  $\pi = [i \alpha j \beta k]$  where  $\alpha = [\pi_2 \pi_3 \pi_4], \beta = [\pi_6 \pi_7 \pi_8]$ . If  $\overline{\alpha}$  is the inversion of a segment  $\alpha$  then  $\overline{\alpha} = \alpha$ . Let us denote the number of elements in a segment  $\alpha$  as  $|\alpha|$ . We also put  $\overline{\pi} = \pi r_n$  and  $\overline{\tau} = \tau r_n$ . Note we observe empty segments where it does not contradict initial definitions.

**Lemma 2.7.5** [53] Let two distinct permutations  $\pi$  and  $\tau$  belong to the same (n-1)-copy of  $P_n$ ,  $n \ge 3$ , and let  $d(\pi, \tau) \le 2$ , then  $\overline{\pi}, \overline{\tau}$  belong to distinct (n-1)-copies of the graph.

Proof. Let  $\pi, \tau \in P_{n-1}(i), 1 \leq i \leq n$ . If  $d(\pi, \tau) = 1$  and if we put  $\pi = [j \alpha k \beta i]$  then  $\tau = [k \overline{\alpha} j \beta i]$  where  $j \neq k \neq i$ . So,  $\overline{\pi} = [i \overline{\beta} k \overline{\alpha} j], \overline{\tau} = [i \overline{\beta} j \alpha k]$ , which means that  $\overline{\pi}, \overline{\tau}$  belong to the distinct copies  $P_{n-1}(j)$  and  $P_{n-1}(k)$ . If  $d(\pi, \tau) = 2$  then there is a permutation  $\omega$  in  $P_{n-1}(i)$  adjacent to  $\pi$  and  $\tau$ . Permutations  $\pi$  and  $\tau$  are obtained from  $\omega$  by multiplying on different (not equal to  $r_n$ ) prefix–reversals on the right. Thereby, the first elements of  $\pi$  and  $\tau$  should be different hence  $\overline{\pi} = \pi r_n$  and  $\overline{\tau} = \tau r_n$  should be different, i.e. they belong to the distinct (n-1)–copies of  $P_n$ .

#### 2.7.1 6-cycles of the Pancake graph

In this section we present the proof of Theorem 2.7.2.

*Proof.* If n = 3 then  $P_3 \cong C_6$  and there is the only 6-cycle presented as

 $[123] \xrightarrow{r_2} [213] \xrightarrow{r_3} [312] \xrightarrow{r_2} [132] \xrightarrow{r_3} [231] \xrightarrow{r_2} [321] \xrightarrow{r_3} [123]$ 

for which the canonical form is  $C_6 = r_3 r_2 r_3 r_2 r_3 r_2$ .

Let us show that there are no other forms of 6-cycles in  $P_n$ ,  $n \ge 4$ . First of all, we prove that an 6-cycle doesn't appear on vertices of two distinct (n-1)-copies. Indeed, if  $\pi, \tau \in P_{n-1}(i)$  and  $\overline{\pi}, \overline{\tau} \in P_{n-1}(j)$ then  $d(\pi, \tau) \ne 1$  and  $d(\pi, \tau) \ne 2$  by Lemma 2.7.5, and hence  $d(\pi, \tau) \ge$ 3. Suppose that there is an 6-cycle containing vertices  $\pi, \tau, \overline{\pi}, \overline{\tau}$ . So, if  $d(\pi, \tau) = 3$  then  $\overline{\pi}, \overline{\tau}$  are adjacent in  $P_{n-1}(j)$  and by Lemma 2.7.5 vertices  $\pi = \overline{\pi} r_n, \tau = \overline{\tau} r_n$  belong to the distinct (n-1)-copies but this is not true since  $\pi, \tau \in P_{n-1}(i)$ . If  $d(\pi, \tau) = 4$  then  $\overline{\pi} = \overline{\tau}$  but this is not possible since  $\pi \ne \tau$ . Thus, an 6-cycle doesn't appear on vertices of two distinct (n-1)-copies.

Now let us show that an 6-cycle doesn't appear on vertices of three distinct (n-1)-copies. Let  $\pi, \tau \in P_{n-1}(i)$  such that  $d(\pi, \tau) \leq 2$  then

by Lemma 2.7.5 vertices  $\overline{\pi}, \overline{\tau}$  belong to the distinct (n-1)-copies. We consider two cases.

Case 1. If  $d(\pi, \tau) = 1$  then vertices  $\pi, \tau, \overline{\pi}, \overline{\tau}$  might be belong to an 6cycle if and only if  $d(\overline{\pi}, \overline{\tau}) = 3$ . Show that this is not true. Let  $\pi = [j \alpha k \beta i]$ then  $\tau = [k \overline{\alpha} j \beta i]$  and  $\overline{\pi} = [i \overline{\beta} k \overline{\alpha} j] \in P_{n-1}(j), \overline{\tau} = [i \overline{\beta} j \alpha k] \in P_{n-1}(k)$ . The shortest path starting at  $\overline{\pi}$  and belonging to  $P_{n-1}(k)$  should contain vertices  $\omega = [k \beta i \overline{\alpha} j]$  and  $\overline{\omega} = [j \alpha i \overline{\beta} k] \in P_{n-1}(k)$ , i.e.  $d(\overline{\pi}, \overline{\omega}) = 2$ . It is evident that there is no a prefix-reversal transforming  $\overline{\omega}$  into  $\overline{\tau}$ , i.e.  $d(\overline{\omega}, \overline{\tau}) \neq 1$ , and hence  $d(\overline{\pi}, \overline{\tau}) \neq 3$ .

Case 2. If  $d(\pi, \tau) = 2$  then vertices  $\pi, \tau, \overline{\pi}, \overline{\tau}$  might be belong to an 6-cycle if and only if  $d(\overline{\pi}, \overline{\tau}) = 2$ . However this is not possible since by Lemma 2.7.5 vertices  $\pi = \overline{\pi} r_n$  and  $\tau = \overline{\tau} r_n$  belong to the distinct (n-1)-copies. Thus, an 6-cycle doesn't appear on vertices of three distinct (n-1)-copies.

It is also evident that an 6-cycle doesn't appear on vertices of four and more distinct (n - 1)-copies since there should be at least four external edges and at least one edge in each of (n - 1)-copies, so we have an 8-cycle.

Thus, there is the only canonical form, namely  $r_3 r_2 r_3 r_2 r_3 r_2$ , to describe 6-cycles in  $P_n$ ,  $n \ge 3$ . These cycles are independent for  $n \ge 4$  since prefix-reversals  $r_i$ ,  $4 \le i \le n$ , define external edges for 6-cycles, which means that each of the vertices of  $P_n$  belongs to the only 6-cycle.

## 2.7.2 7-cycles of the Pancake graph

*Proof.* We prove Theorem 2.7.3 by the induction on the dimension k of the Pancake graph  $P_k$  when  $k \ge 4$ .

If k = 3 then there are no 7-cycles in  $P_3 \cong C_6$ .

If k = 4 then theorem says that each of vertices of  $P_4$  belongs to 7 distinct 7-cycles. Since  $P_n$  is a vertex-transitive graph then it is enough to check this fact for any its vertex. In particular, all 7-cycles containing the identity permutation I = [1234] are presented in the Table 1. They could be found easily by considering vertex distributions of  $P_4$  in all metric spheres centered at the identity permutation. The canonical form for all cycles presented in Table 1 is  $C_7 = r_4 r_3 r_4 r_3 r_2 r_4 r_2$  that corresponds to (2.3) when k = 4.

	vertex description	prefix–reversal description
1	[1234]-[4321]-[2341]-[1432]-[3412]-[4312]-[2134]	$r_4 r_3 r_4 r_3 r_2 r_4 r_2$
2	[1234]- $[3214]$ - $[4123]$ - $[2143]$ - $[1243]$ - $[3421]$ - $[4321]$	$r_3 r_4 r_3 r_2 r_4 r_2 r_4$
3	[1234]-[4321]-[2341]-[3241]-[1423]-[4123]-[3214]	$r_4 r_3 r_2 r_4 r_2 r_4 r_3$
4	[1234]-[3214]-[2314]-[4132]-[1432]-[2341]-[4321]	$r_3 r_2 r_4 r_2 r_4 r_3 r_4$
5	[1234]-[2134]-[4312]-[3412]-[2143]-[4123]-[3214]	$r_2 r_4 r_2 r_4 r_3 r_4 r_3$
6	[1234]-[4321]-[3421]-[1243]-[4213]-[3124]-[2134]	$r_4 r_2 r_4 r_3 r_4 r_3 r_4 r_3 r_2$
7	$[1234]\hbox{-}[2134]\hbox{-}[4312]\hbox{-}[1342]\hbox{-}[2431]\hbox{-}[3421]\hbox{-}[4321]$	$r_2 r_4 r_3 r_4 r_3 r_2 r_4$

Table 1. 7-cycles in  $P_4$  containing the identity permutation I = [1234].

Now we assume that theorem is hold for k = n - 1 and prove that it is hold also for k = n using the hierarchical structure of  $P_n$ .

By the induction assumption, any vertex of any (n-1)-copy belongs to 7((n-1)-3) = 7(n-4) distinct 7-cycles of this copy. However, besides 7-cycles belonging to the same (n-1)-copy there may also be 7-cycles belonging to the distinct (n-1)-copies of the graph. The following three cases are possible.

<u>Case 1.</u> Suppose that such an 7-cycle  $C_7^*$  is formed on vertices from two copies  $P_{n-1}(i)$  and  $P_{n-1}(j)$ ,  $1 \leq i \neq j \leq n$ , such that either two vertices of  $C_7^*$  belong to  $P_{n-1}(i)$  and other five vertices belong to  $P_{n-1}(j)$ , or three vertices of  $C_7^*$  belong to  $P_{n-1}(i)$  and other four vertices belong to a copy  $P_{n-1}(j)$ . In the both cases we have  $d(\pi, \tau) \leq 2$  for any vertices  $\pi, \tau \in P_{n-1}(i)$  belonging to  $C_7^*$ . Then by Lemma 2.7.5 vertices  $\overline{\pi}, \overline{\tau}$  belong to the distinct (n-1)-copies that contradicts to our assumption. Therefore, an 7-cycle does not occur in this case.

<u>Case 2.</u> Suppose that such an 7-cycle  $C_7^*$  is formed on vertices from three distinct (n-1)-copies such that two vertices  $\pi^{i_1}$ ,  $\pi^{i_2}$  belong to  $P_{n-1}(i)$ , two vertices  $\pi^{j_1}$ ,  $\pi^{j_2}$  belong to  $P_{n-1}(j)$ , the other three vertices  $\pi^{n_1}$ ,  $\pi^{n_2}$ ,  $\pi^{n_3}$  belong to  $P_{n-1}(n)$ , where  $1 \leq i < j \leq n$  (see Figure 13).

Let us describe such a cycle. Since  $P_n$  is a vertex-transitive graph then there is no loss of generality in taking  $\pi^{n_2} = I_n = [\alpha i\beta j \gamma n]$ , where  $\alpha = [1 \dots i - 1], \beta = [i + 1 \dots j - 1], \gamma = [j + 1 \dots n - 1]$  and  $|\alpha| = i - 1$ ,  $|\beta| = j - i - 1, |\gamma| = n - j - 1$ . By Lemma 2.7.5 vertices  $\pi^{n_1}$  and  $\pi^{n_3}$  are adjacent to vertices from distinct (n - 1)-copies  $P_{n-1}(i)$  and  $P_{n-1}(j)$ ,



Figure 13. Case 2 for the proof of Theorem 2.7.3

hence these vertices are presented by permutations:

$$\pi^{n_1} = \pi^{n_2} r_i = [i \overline{\alpha} \beta j \gamma n], \text{ where } \pi^{n_1}_j = j,$$
  
$$\pi^{n_3} = \pi^{n_2} r_j = [j \overline{\beta} i \overline{\alpha} \gamma n], \text{ where } \pi^{n_3}_{j-i+1} = i.$$

and their adjacent vertices in  $P_{n-1}(i)$  and  $P_{n-1}(j)$  are presented by the following permutations:

$$\begin{aligned} \pi^{i_1} &= \pi^{n_1} r_n = [n \,\overline{\gamma} \, j \,\overline{\beta} \, \alpha \, i], \text{ where } \pi^{i_1}_{n-j+1} = j, \\ \pi^{j_1} &= \pi^{n_3} \, r_n = [n \,\overline{\gamma} \, \alpha \, i \, \beta \, j], \text{ where } \pi^{j_1}_{n-j+i} = i. \end{aligned}$$

A vertex  $\pi^{i_2}$  should be adjacent to the vertex  $\pi^{i_1}$  and to one of the vertices, say  $\pi^{j_2}$ , from  $P_{n-1}(j)$ . So we have:

$$\pi^{i_2} = \pi^{i_1} r_{n-j+1} = [j \gamma n \overline{\beta} \alpha i], \text{ where } \pi_1^{i_2} = j.$$

On the other hand, a vertex  $\pi^{j_2}$  should be adjacent to the vertex  $\pi^{j_1}$ . Moreover, since it is also adjacent to  $\pi^{i_2}$  hence  $\pi^{j_2}$  has the following form:

$$\pi^{j_2} = \pi^{j_1} r_{n-j+i} = [i \overline{\alpha} \gamma n \beta j], \text{ where } \pi_1^{j_2} = i.$$

By our assumption, the vertices  $\pi^{i_2}$  and  $\pi^{j_2}$  are incident to the same external edge, which means that a permutation  $\pi^* = \pi^{i_2} r_n = [i \overline{\alpha} \beta n \overline{\gamma} j]$  should coincide with the permutation  $\pi^{j_2}$ . This is possible only in the case when segments  $\beta$  and  $\gamma$  are empty, i.e.  $|\beta| = j - i - 1 = 0$  and  $|\gamma| = n - j - 1 = 0$ . From this we have j = n - 1 and i = j - 1 = n - 2, and an 7-cycle is presented as follows:

$$\pi^{i_1} \xrightarrow{r_2} \pi^{i_2} \xrightarrow{r_n} \pi^{j_2} \xrightarrow{r_{n-1}} \pi^{j_1} \xrightarrow{r_n} \pi^{n_3} \xrightarrow{r_{n-1}} \pi^{n_2} \xrightarrow{r_{n-2}} \pi^{n_1} \xrightarrow{r_n} \pi^{i_1}.$$

It is evident that its canonical form  $C_7 = r_n r_{n-1} r_n r_{n-1} r_{n-2} r_n r_2$  coincides with (2.3) when k = n.

<u>Case 3.</u> Suppose that such an 7–cycle is formed on vertices from four or more (n-1)–copies. It follows from the hierarchical structure of the graph that any its vertex is incident to the only external edge. So, any 7–cycle in this graph should contain at least two vertices of the same (n-1)–copy and hence an 7–cycle does not occur in this assumption.

Thus, the only canonical form  $r_n r_{n-1} r_n r_{n-1} r_n r_2 r_n r_2$  representing seven cycles of the length 7 and containing vertices from three distinct (n-1)copies of the graph  $P_n$  is found. By vertex-transitivity this is true for any vertex of  $P_n$ . By the induction assumption, any vertex of any (n-1)-copy belongs to 7(n-4) distinct 7-cycles from this copy. Therefore, any vertex of  $P_n$  belongs to 7(n-3) distinct 7-cycles of the canonical form (2.3) that completes the proof on the main fact of Theorem 2.7.3.

Since each of vertices belongs to 7(n-3) distinct 7-cycles and there are n! vertices in  $P_n$ , hence there are n! 7(n-3) cycles of length 7. However, each cycle was enumerated seven times, so in total there are n!(n-3) distinct 7-cycles in  $P_n$ .

It is also easy to show that there are at most three independent 7–cycles in  $P_4$ . For example, the following three 7–cycles are independent in  $P_4$ :

$$\begin{split} C_7^1 &= [1234] - [2134] - [4312] - [1342] - [2431] - [3421] - [4321], \\ C_7^2 &= [3241] - [2341] - [1432] - [3412] - [2143] - [4123] - [1423], \\ C_7^3 &= [4213] - [2413] - [3142] - [4132] - [2314] - [1324] - [3124]. \end{split}$$

It follows from the hierarchical structure of  $P_n$ ,  $n \ge 4$ , that there are  $\frac{n!}{24}$  copies of  $P_4$  and each of them has exactly three independent 7-cycles. So, in total there are at least  $\frac{n!}{8}$  independent 7-cycles that gives the lower bound. The upper bound is obtained in assumption that each of vertices of  $P_n$ ,  $n \ge 7$ , belongs to the only 7-cycle.

### 2.7.3 8-cycles of the Pancake graph

In this section we present the proof of Theorem 2.7.4. For this we need some additional notations and technical lemmas. As before, we suggest that any permutation can be written as a sequence of singleton and multiple segments presented by characters from  $\{p, q, s, t\}$  and  $\{\alpha, \beta, \gamma, A, B, C\}$  respectively. If  $\pi = [\alpha \beta]$ , where  $\alpha = [\pi_1 \pi_2 \dots \pi_i]$  and  $\beta = [\pi_{i+1} \dots \pi_n]$ , then  $\pi r_{|\alpha|} = [\overline{\alpha}\beta]$ , where  $|\alpha|$  is the number of elements in a segment  $\alpha$ ,  $|\alpha| \ge 2$ , and  $\overline{\alpha}$  is the inversion of a segment  $\alpha$ . It is obvious that  $\overline{\overline{\alpha}} = \alpha$ . Note that we allow empty segments where this does not contradict the initial definitions.

An independent set D of vertices in a graph is called an *efficient domi*nating set [26] if each vertex not in D is adjacent to exactly one vertex in D. It was shown in [70] that  $D_p = \{[p \pi_2 \dots \pi_n] : \pi_j \in \{1, \dots, n\} \setminus \{p\}, 2 \leq j \leq n\}, |D_p| = (n-1)!, p = 1, \dots, n$ , are efficient dominating sets in  $P_n, n \geq 3$ . Let us note that external edges of  $P_n, n \geq 3$ , are incident to vertices from different efficient dominating sets of the Pancake graph. The distance  $d = d(\pi, \tau)$  between two vertices  $\pi, \tau \in V(P_n)$  is defined as the least number of prefix-reversals transforming  $\pi$  into  $\tau$ , i.e.  $\pi r_{i_1} r_{i_2} \dots r_{i_d} = \tau$ .

The next lemma gives a full list of paths of length three between vertices of the same efficient dominating set.

**Lemma 2.7.6** Two permutations  $\pi, \tau \in D_p$ ,  $1 \leq p \leq n$ , are at distance three from each other in  $P_n$ ,  $n \geq 3$ , if and only if:

1) either  $\tau = \pi r_j r_i r_j$ , where  $2 \leq i < j \leq n$ , and permutations  $\pi, \tau$  are presented as:

$$\pi = [A B \gamma], \quad \tau = [A \overline{B} \gamma]; \tag{2.12}$$

2) or  $\tau = \pi r_j r_i r_{i-j+1}$ , where  $2 \leq j < i \leq n$ , and permutations  $\pi, \tau$  are presented as:

$$\pi = [p A B \gamma], \quad \tau = [p B A \gamma]. \tag{2.13}$$

*Proof.* We consider  $\pi \in D_p$  such that  $\pi = [p \alpha q \beta k], \pi_j = q$ . Let us find other vertices from  $D_p$  being at distance three from  $\pi$ . Let  $\pi^1 = \pi r_j = [q \overline{\alpha} p \beta k]$ , where  $\pi_j^1 = p, 2 \leq j \leq n$ . An application of a prefix-reversal  $r_i, 2 \leq i \leq n, i \neq j$ , to the permutation  $\pi^1$  gives us the following two cases. 1) If i < j then  $\pi^2 = \pi^1 r_i = [\alpha_2 q \overline{\alpha_1} p \beta k]$ , where  $\pi_j^2 = p$ ,  $\alpha = \alpha_1 \alpha_2$  and  $|\alpha_2| = i - 1$ , and an application of a prefix-reversal  $r_j$  to the permutation  $\pi^2$  gives us the permutation:

$$\tau = \pi^2 r_j = [p \,\alpha_1 \, q \,\overline{\alpha_2} \,\beta \, k],$$

hence  $\tau = \pi r_j r_i r_j$ , and we get (2.12) by setting  $A = p \alpha_1$ ,  $B = \alpha_2 q$ ,  $\gamma = \beta k$  in  $\pi$  and  $\tau$  in this case. Note, that using  $r_j$  is the only way to restore p to the first position and thus to end at an element of  $D_p$  after reaching  $\pi^2$ .

2) If i > j then  $\pi^2 = \pi^1 r_i = [\overline{\beta_1} p \alpha q \beta_2 k]$ , where  $\pi_{i-j+1}^2 = p$ ,  $\beta = \beta_1 \beta_2$ and  $|\beta_1| = i - j$ , and an application of a prefix-reversal  $r_{i-j+1}$  to the permutation  $\pi^2$  gives us the permutation:

$$\tau = \pi^2 r_{i-j+1} = [p \beta_1 \alpha q \beta_2 k],$$

hence  $\tau = \pi r_j r_i r_{i-j+1}$ , and we get (2.13) setting  $A = \alpha q$ ,  $B = \beta_1$ ,  $\gamma = \beta_2 k$ in  $\pi$  and  $\tau$  in this case. Note, that using  $r_j$  is the only way to restore p to the first position and thus to end at an element of  $D_p$  after reaching  $\pi^2$ .  $\Box$ 

The next lemma gives a description of paths of length three defined on internal edges of the graph between vertices of given forms.

**Lemma 2.7.7** Let permutations  $\pi$  and  $\tau$  be presented as:

1)  $\pi = [\gamma_1 A B \gamma_2]$  and  $\tau = [\gamma_1 \overline{A} B \gamma_2]$ . Then:

a) there exists a unique path of length three:

$$\tau = \pi r_{|\gamma_1| + |A|} r_{|A|} r_{|\gamma_1| + |A|}, \qquad (2.14)$$

provided that either  $|\gamma_1| \ge 2$  and  $|A| \ge 2$ , or  $|\gamma_1| = 1$  and  $|A| \ge 3$ ;

b) there are two paths of length three:

$$\tau = \pi r_2 r_3 r_2, \quad \tau = \pi r_3 r_2 r_3, \tag{2.15}$$

provided that  $|\gamma_1| = 1$  and |A| = 2;

2)  $\pi = [\gamma_1 A B \gamma_2]$  and  $\tau = [\gamma_1 B A \gamma_2]$ , where  $|\gamma_1| \ge 0$ ,  $|A| \ge 1$ ,  $|B| \ge 1$ . Then:

a) there is a unique path of length three:

$$\tau = \pi r_{|\gamma_1|+2} r_2 r_{|\gamma_1|+2}, \qquad (2.16)$$

provided that  $|\gamma_1| \ge 2$ , and |A| = |B| = 1;

b) there is a unique path of length three:

$$\tau = \pi r_{|\gamma_1|+|A|} r_{|\gamma_1|+|A|+|B|} r_{|\gamma_1|+|B|}, \qquad (2.17)$$

provided that  $|\gamma_1| = 1$ , and at least one of  $|A|, |B| \neq 1$ ;

c) there are two paths of length three:

$$\tau = \pi r_2 r_3 r_2 = \pi r_3 r_2 r_3, \tag{2.18}$$

provided that  $|\gamma_1| = |A| = |B| = 1$ ;

d) there is a unique path of length three:

$$\tau = \pi r_{|A|} r_{|A|+|B|} r_{|B|}, \qquad (2.19)$$

provided that  $|\gamma_1| = 0$  and  $|A| \ge 2$ ,  $|B| \ge 2$ . There are no other paths of length three between  $\pi$  and  $\tau$  of these types.

*Proof.* 1) If  $\pi = [\gamma_1 A B \gamma_2]$  and  $\tau = [\gamma_1 \overline{A} B \gamma_2]$ , then (2.14) is checked by a direct verification:

$$[\gamma_1 A B \gamma_2] \xrightarrow{r_{|\gamma_1|+|A|}} [\overline{A} \overline{\gamma_1} B \gamma_2] \xrightarrow{r_{|A|}} [A \overline{\gamma_1} B \gamma_2] \xrightarrow{r_{|\gamma_1|+|A|}} [\gamma_1 \overline{A} B \gamma_2].$$

Suppose that there is one more path of length three. Then these two paths should form an 6-cycle. In part (a), either  $|\gamma_1| \ge 2$  and  $|A| \ge 2$ , or  $|\gamma_1| = 1$  and  $|A| \ge 3$ , so  $r_{|\gamma_1|+|A|} = r_m$  for some  $m \ge 4$ , but by Theorem 2.7.2, no an 6-cycle includes  $r_m$  with  $m \ge 4$  in its form. Therefore, the given path is the only one in this case. In part (b),  $|\gamma_1| = 1$  and |A| = 2, so m = 3 and

the condition of Theorem 2.7.2 holds, hence we obtain two distinct paths of the stated forms (2.15).

2) If  $\pi = [\gamma_1 A B \gamma_2]$  and  $\tau = [\gamma_1 B A \gamma_2]$ , and  $|\gamma_1| \ge 2$ ,  $|A| \ge 1$ ,  $|B| \ge 1$ , then there is the following path of length four between these vertices:

$$\pi = \left[\gamma_1 A B \gamma_2\right] \stackrel{r_{|\gamma_1|+|A|}}{\longrightarrow} \left[\overline{A\gamma_1} B \gamma_2\right] \stackrel{r_{|\gamma_1|+|A|+|B|}}{\longrightarrow} \left[\overline{B\gamma_1} A \gamma_2\right] \stackrel{r_{|\gamma_1|+|B|}}{\longrightarrow} \left[\overline{\gamma_1} B A \gamma_2\right] \stackrel{r_{|\gamma_1|}}{\longrightarrow} \left[\gamma_1 B A \gamma_2\right] = \tau.$$
(2.20)

Suppose there is also a path of length three between  $\pi$  and  $\tau$ . By Theorem 2.7.1, there are no cycles of length 3 or 5, and hence no paths of lengths 3 and 4 exist between two fixed vertices unless the paths are disjoint. This means that these two paths should form an 7-cycle, including the sequence  $r_{m+a}r_{m+a+b}r_{m+b}r_m$ , where  $|\gamma_1| = m$ , |A| = a and |B| = b. By Theorem 2.7.2, this is possible only in the case when m = k - 2,  $k \ge 4$ , and a = b = 1, which implies that a unique path of length three has the form  $r_{m+2}r_2r_{m+2}$  that corresponds to (2.16).

Putting  $|\gamma_1| = 1$  in (2.20), a path

$$\tau = \pi r_{|\gamma_1| + |A|} r_{|\gamma_1| + |A| + |B|} r_{|\gamma_1| + |B|},$$

corresponding to (2.17), is obtained. Taking  $|\gamma_1| = m$ , |A| = a and |B| = b, the obtained path is presented as  $r_{m+a}r_{m+a+b}r_{m+b}$ . Suppose that there is one more path of length three between  $\pi$  and  $\tau$ . Then these two paths should form an 6-cycle. By Theorem 2.7.2, this is possible only in the case when m = a = b = 1, which gives us the paths  $\tau = \pi r_2 r_3 r_2$  and  $\tau = \pi r_3 r_2 r_3$ , corresponding to (2.18).

Putting  $|\gamma_1| = 0$  in (2.20), a path

$$\tau = \pi r_{|A|} r_{|A|+|B|} r_{|B|},$$

corresponding to (2.19) with  $|A| \ge 2$ ,  $|B| \ge 2$ , is obtained. Suppose there is one more path of length three between  $\pi$  and  $\tau$ . Then these two paths should form an 6-cycle. By the conditions of Lemma,  $|A| + |B| \ge 4$ , hence  $r_{|A|+|B|} = r_m$  for some  $m \ge 4$ , but by Theorem 2.7.2, no an 6-cycle includes  $r_m$  with  $m \ge 4$  in its form. Therefore, the given path is the only one in this case. If |A| = 1 or |B| = 1, then the path above is transformed into a 2-path or an edge. This completes the proof of the lemma. Now we are ready to prove Theorem 2.7.4

## Proof of Theorem 2.7.4

Since  $P_3 \cong C_6$  and due to the hierarchical structure,  $P_4$  has four copies of  $P_3$ , each of which obviously cannot contain 8-cycles. However,  $P_4$  has 8cycles consisting of paths within copies of  $P_3$  together with external edges between these copies. In general, any 8-cycle of  $P_n$ ,  $n \ge 4$ , must consist of paths within subgraphs that are isomorphic to  $P_{k-1}$  for some  $4 \le k \le n$ , joined by external edges between these subgraphs. Hence, all 8-cycles of  $P_n$ ,  $n \ge 4$ , could be found recursively by considering 8-cycles within each  $P_k$ ,  $4 \le k \le n$ , consisting of vertices from some copies of  $P_{k-1}$ . This approach is used in the proof of Theorem 2.7.4. It is assumed that any copy of  $P_{k-1}$  has at least two vertices, since each vertex has a unique external edge. We obtain canonical forms of 8-cycles and count their numbers.

## Case 1: an 8-cycle within $P_k$ has vertices from two copies of $P_{k-1}$

Suppose that an 8-cycle is formed on vertices from copies  $P_{k-1}(p)$  and  $P_{k-1}(s), 1 \leq p \neq s \leq k$ . By Lemma 2.7.5, if two vertices  $\pi$  and  $\tau$ , belonging the same (k-1)-copy, are at the distance at most two, then their external neighbours  $\overline{\pi}$  and  $\overline{\tau}$  should belong to distinct (k-1)-copies. Hence, an 8-cycle cannot occur in situations when its two (three) vertices belong to one copy and six (five) vertices belong to another one. Therefore, such an 8-cycle must have four vertices in each of the two copies.

<u>(4+4)-situation</u>. Suppose that four vertices  $\pi^{s_1}$ ,  $\pi^{s_2}$ ,  $\pi^{s_3}$ ,  $\pi^{s_4}$  of such an 8-cycle belong to a copy  $P_{k-1}(s)$ , and other four vertices  $\pi^{p_1}$ ,  $\pi^{p_2}$ ,  $\pi^{p_3}$ ,  $\pi^{p_4}$  belong to a copy  $P_{k-1}(p)$ . Herewith, vertices  $\pi^{s_1}$ , l = 1, 2, 3, 4, should form a path of length three whose endpoints  $\pi^{s_1}$  and  $\pi^{s_4}$  should be adjacent to vertices from a copy  $P_{k-1}(p)$ . This means that the equality  $\pi_1^{s_1} = \pi_1^{s_4} = p$  should hold, and both vertices should belong to the efficient dominating set  $D_p$ . So, one vertex of  $P_{k-1}(s)$ , that is adjacent to a vertex of  $P_{k-1}(p)$ , must have the form  $[p \alpha q \beta s]$ .

Let  $\pi^{s_1} = [p \alpha q \beta s]$ , where  $\pi_1^{s_1} = p$ ,  $\pi_j^{s_1} = q$ ,  $|\alpha| = j - 2$ ,  $|\beta| = k - j - 1$ . By Lemma 2.7.6, a path of length three between vertices is presented by the following two ways.



Figure 14. (4+4)-situation

1) In the first way, a path of length three has the following form:

$$\pi^{s_1} = [p\alpha q\beta s] \xrightarrow{r_j} \pi^{s_2} = [q\overline{\alpha}p\beta s] \xrightarrow{r_i} \pi^{s_3} = [\alpha_2 q\overline{\alpha_1}p\beta s] \xrightarrow{r_j} \pi^{s_4} = [p\alpha_1 q\overline{\alpha_2}\beta s],$$

where  $\pi_j^{s_2} = p$ ,  $\pi_i^{s_3} = q$ ,  $\alpha = \alpha_1 \alpha_2$  and  $|\alpha_2| = i - 1 \ge 1$ ,  $\pi_{j-i+1}^{s_4} = q$ . The endpoints  $\pi^{s_1}, \pi^{s_4}$  are adjacent to the following vertices:

$$\pi^{p_1} = \pi^{s_1} r_k = [s\overline{\beta}q\overline{\alpha_2\alpha_1}p]$$

with  $\pi_{k-j+1}^{p_1} = q$ , and

$$\pi^{p_4} = \pi^{s_4} r_k = [s\overline{\beta}\alpha_2 q\overline{\alpha_1}p]$$

with  $\pi_{k-i+j}^{p_4} = q$  (see Figure 14, Case 1).

Let us describe a path of length three between vertices  $\pi^{p_1}$  and  $\pi^{p_4}$ belonging to the same copy  $P_{k-1}(p)$ . Denote  $\gamma_1 = s\overline{\beta}$ ,  $A = q\overline{\alpha_2}$ ,  $B = \alpha_1$ ,  $\gamma_2 = p$ , where  $|\gamma_1| = |\beta| + 1 \ge 1$ ,  $|A| \ge 2$ ,  $|B| \ge 0$ , then permutations  $\pi^{p_1}, \pi^{p_4}$  take the forms  $[\gamma_1 A B \gamma_2]$  and  $[\gamma_1 \overline{A} B \gamma_2]$ . By Lemma 2.7.7 (case 1a), there is a unique path of length three between these permutations if  $|\gamma_1| = |\beta| + 1 = k - j \ge 1$  and  $|A| = |\alpha_2| + 1 = i \ge 3$ , or  $k - j \ge 2$ and  $i \ge 2$ , and by Lemma 2.7.7 (case 1b), there are two distinct paths if k - j = 1 and i = 2.

Hence, such an 8-cycle takes the form  $C_8^1 = r_{k-j+i}r_ir_kr_jr_ir_jr_k$ , where  $2 \leq i < j \leq k-1$ , the canonical form of which corresponds to (2.4) in Theorem 2.7.4 for  $4 \leq k \leq n$ . The case of k-j = 1 and i = 2 by symmetry gives one additional form  $C_8^2 = r_{k-1}r_2r_{k-1}r_kr_2r_3r_2r_k$ , the canonical form of which corresponds to (2.5) in Theorem 2.7.4 for  $4 \leq k \leq n$ .

2) In the second way, a path of length three has the following form:

$$\pi^{s_1} = [p\alpha q\beta s] \xrightarrow{r_j} \pi^{s_2} = [q\overline{\alpha}p\beta s] \xrightarrow{r_i} \pi^{s_3} = [\overline{\beta_1}p\alpha q\beta_2 s] \xrightarrow{r_{i-j+1}} \pi^{s_4} = [p\beta_1\alpha q\beta_2 s],$$
  
where  $\pi^{s_2}_j = p, \, \pi^{s_3}_i = q, \, \beta = \beta_1\beta_2, \, |\beta_1| = i-j \ge 1, \, |\beta_2| = k-i-1, \, \pi^{s_4}_i = q.$ 

The endpoints  $\pi^{s_1}, \pi^{s_4}$  are adjacent to the following vertices:

$$\pi^{p_1} = \pi^{s_1} r_k = [s \overline{\beta_2 \beta_1} q \overline{\alpha} p],$$

with  $\pi_{k-j+1}^{p_1} = q$ , and

$$\pi^{p_4} = \pi^{s_4} r_k = [s\overline{\beta_2}q\overline{\alpha}\overline{\beta_1}p],$$

with  $\pi_{k-i+1}^{p_4} = q$  (see Figure 14, Case 2).

Let us describe paths of length three between  $\pi^{p_1}$  and  $\pi^{p_4}$  belonging to the same copy  $P_{k-1}(p)$ . Denote  $\gamma_1 = s\overline{\beta_2}$ ,  $A = \overline{\beta_1}$ ,  $B = q\overline{\alpha}$ ,  $\gamma_2 = p$ , where  $|\gamma_1| = |\beta_2| + 1 \ge 1$ ,  $|A| = |\beta_1| \ge 1$ ,  $|B| = |\alpha| + 1 \ge 1$ , then  $\pi^{p_1} = [\gamma_1 A B \gamma_2]$ ,  $\pi^{p_4} = [\gamma_1 B A \gamma_2]$ . By Lemma 2.7.7 (case 2a), there is a unique path of length three between  $\pi^{p_1}$  and  $\pi^{p_4}$  if  $|\gamma_1| = k - i \ge 2$ ,  $|A| = |\beta_1| = i - j = 1$ and  $|B| = |\alpha| + 1 = j - 1 = 1$ . Hence, j = 2, i = 3, and for  $k \ge 5$ an 8-cycle has the form  $r_{k-1}r_2r_{k-1}r_kr_2r_3r_2r_k$ , corresponding again to the canonical form (2.5).

By Lemma 2.7.7 (case 2b), there also exists a unique path of length three between  $\pi^{p_1}$  and  $\pi^{p_4}$  in the case when  $|\gamma_1| = k - i = 1$ ,  $|A| = |\beta_1| = i - j \ge 1$ ,  $|B| = |\alpha| + 1 = j - 1 \ge 1$ . This means that i = k - 1, and such an 8cycle has the form  $C_8^4 = r_k r_{k-j} r_{k-1} r_j r_k r_{k-j} r_{k-1} r_j$ ,  $2 \le j \le k - 2$ , the canonical form of which corresponds to (2.6) in Theorem 2.7.4, if we set j = i. So, there is a unique path of length three under the conditions listed, unless |A| = |B| = 1 when by Lemma 2.7.7 (case 2c) this path is not unique. So, k = 4, j = 2, i = 3 and 8-cycles take forms  $r_2 r_3 r_2 r_4 r_3 r_2 r_3 r_4$ and  $r_3 r_2 r_3 r_4 r_3 r_2 r_3 r_4$ , corresponding to forms (2.5) and (2.4).

Thus, the case of two copies is considered and all 8–cycles occurring in this case are found.



Figure 15. (2+2+4)-situation

## Case 2: an 8-cycle within $P_k$ has vertices from three copies of $P_{k-1}$

Suppose an 8-cycle is formed on vertices from copies  $P_{k-1}(p)$ ,  $P_{k-1}(q)$ ,  $P_{k-1}(s)$ , where  $1 \leq p \neq q \neq s \leq k$ . We have the following possible situations in this case.

(2+2+4)-situation. Suppose two vertices  $\pi^{s_1}, \pi^{s_2}$  of such an 8-cycle belong to a copy  $P_{k-1}(s)$ , two vertices  $\pi^{p_1}, \pi^{p_2}$  belong to a copy  $P_{k-1}(p)$ , and four vertices  $\pi^{q_l}, l = 1, \ldots, 4$ , belong to a copy  $P_{k-1}(q)$  (see Figure 15). Let us consider  $\pi^{s_1} = [p \alpha q \beta s]$ , where  $\alpha = [\pi_2 \ldots \pi_{i-1}], |\alpha| = i - 2$ , and  $\beta = [\pi_{i+1} \ldots \pi_{k-1}], |\beta| = k - i - 1$ . Since a vertex  $\pi^{s_2}$  should be adjacent to a vertex from a copy  $P_{k-1}(q)$ , hence  $\pi_1^{s_2} = q$  and we immediately have

$$\pi^{s_2} = \pi^{s_1} r_i = [q \overline{\alpha} p \beta s], \text{ where } \pi^{s_2}_i = p.$$

The vertex  $\pi^{s_2}$  must be joined by an external edge with a vertex  $\pi^{q_1}$  from a copy  $P_{k-1}(q)$ , hence

$$\pi^{q_1} = \pi^{s_2} r_k = [s \overline{\beta} p \alpha q], \text{ where } \pi^{q_1}_{k-i+1} = p.$$

The vertex  $\pi^{s_1}$  must be joined by an external edge with a vertex  $\pi^{p_1}$  from a copy  $P_{k-1}(p)$ , hence

$$\pi^{p_1} = \pi^{s_1} r_k = [s \overline{\beta} q \overline{\alpha} p], \text{ where } \pi^{q_1}_{k-i+1} = s.$$

Since a vertex  $\pi^{p_2}$  should be adjacent to a vertex from a copy  $P_{k-1}(q)$ , then  $\pi_1^{p_2} = q$  and we immediately have

$$\pi^{p_2} = \pi^{p_1} r_{k-i+1} = [q \,\beta \, s \,\overline{\alpha} \, p], \text{ where } \pi^{p_2}_{k-i+1} = s.$$

The vertex  $\pi^{p_2}$  must be joined by an external edge with a vertex  $\pi^{q_4}$  from a copy  $P_{k-1}(q)$ , hence

$$\pi^{q_4} = \pi^{p_2} r_k = [p \alpha s \overline{\beta} q], \text{ where } \pi_i^{q_4} = s.$$

Now we describe a path of length three between vertices  $\pi^{q_1}$  and  $\pi^{q_4}$  which are differed in the order of segments  $s\overline{\beta}$  and  $p\alpha$ . This means they have the forms  $[\gamma_1 A B \gamma_2]$  and  $[\gamma_1 B A \gamma_2]$ , where  $\gamma_1$  is empty, i.e.  $|\gamma_1| = 0$ , and  $A = s\overline{\beta}$ ,  $B = p\alpha$ ,  $\gamma_2 = q$  and  $|A| = |\beta| + 1 \ge 1$ ,  $|B| = |\alpha| + 1 \ge 1$ . Then by Lemma 2.7.7 (case 2d), between vertices  $\pi^{q_1}$  and  $\pi^{q_4}$  there exists a unique path of length three provided that  $|A| = k - i \ge 2$  and  $|B| = i - 1 \ge 2$ , and no path of this length if |A| = 1 or |B| = 1. This means that  $3 \le j \le k - 2$ , where  $k \ge 5$ . Thus, an 8-cycle has the following form:

$$C_8^4 = r_k r_{k-i+1} r_k r_{i-1} r_{k-1} r_k r_i$$
, where  $3 \le i \le k-2$ ,

the canonical form of which corresponds to (2.7) in Theorem 2.7.4 for  $4 \leq k \leq n$ .

(2+3+3)-situation. Suppose two vertices  $\pi^{s_1}, \pi^{s_2}$  of such an 8-cycle belong to a copy  $P_{k-1}(s)$ , three vertices  $\pi^{p_1}, \pi^{p_2}, \pi^{p_3}$  belong to a copy  $P_{k-1}(p)$ , and three vertices  $\pi^{q_1}, \pi^{q_2}, \pi^{q_3}$  belong to a copy  $P_{k-1}(q)$  (see Figure 16). Let  $\pi^{s_1} = [p\alpha q\beta s]$ , where  $\alpha = [\pi_2 \dots \pi_{i-1}], |\alpha| = i - 2$ , and  $\beta = [\pi_{i+1} \dots \pi_{k-1}], |\beta| = k - i - 1$ . Vertices  $\pi^{s_1}$  and  $\pi^{s_2}$  should be adjacent to vertices from distinct (k-1)-copies, hence they are presented as follows:

$$\pi^{s_2} = \pi^{s_1} r_i = [q \overline{\alpha} p \beta k], \text{ where } \pi^{s_2}_i = p.$$

The vertex  $\pi^{s_1}$  must be joined by an external edge with a vertex  $\pi^{p_1}$  from a copy  $P_{k-1}(p)$ , hence

$$\pi^{p_1} = \pi^{s_1} r_k = [s \overline{\beta} q \overline{\alpha} p], \text{ where } \pi^{p_1}_{k-i+1} = q.$$

The vertex  $\pi^{s_2}$  must be joined by an external edge with a vertex  $\pi^{q_1}$  from a copy  $P_{k-1}(q)$ , hence

$$\pi^{q_1} = \pi^{s_2} r_k = [s \overline{\beta} p \alpha q], \text{ where } \pi^{q_1}_{k-i+1} = p.$$



Figure 16. (2+3+3)-situation

The vertex  $\pi^{p_3}$  must be joined by an external edge with a vertex  $\pi^{q_3}$ from a copy  $P_{k-1}(q)$ , hence we have  $\pi_1^{p_3} = q$ . Thus, vertices  $\pi^{p_1}$  and  $\pi^{p_3}$ should be joined by a path of length two with the condition above. It can be obtained by the following two ways:

$$\pi^{p_1} = [s\overline{\beta}q\overline{\alpha}p] \to \begin{cases} [\beta_2 s\overline{\beta_1}q\overline{\alpha}p] \to [q\beta_1 s\overline{\beta_2}\overline{\alpha}p] = \pi^{p_3^1}, & \text{where } |\beta_2| \neq 0.\\ [\alpha_2 q\beta s\overline{\alpha_1}p] \to [q\overline{\alpha_2}\beta s\overline{\alpha_1}p] = \pi^{p_3^2}, & \text{where } |\alpha_2| \neq 0. \end{cases}$$

From the other side, the vertex  $\pi^{q_3}$  must be joined by an external edge with a vertex  $\pi^{p_3} \in P_{k-1}(p)$ , hence we have  $\pi_1^{q_3} = p$ . Thus, vertices  $\pi^{q_1}$  and  $\pi^{q_3}$  should be joined by a path of length two with the condition above. It can be obtained by the following two ways:

$$\pi^{q_1} = [s\overline{\beta}p\alpha q] \to \begin{cases} [\beta_2 s\overline{\beta_1}p\alpha q] \to [p\beta_1 s\overline{\beta_2}\alpha q] = \pi^{q_3^1}, & \text{where } |\beta_2| \neq 0.\\ [\overline{\alpha_1}p\beta s\alpha_2 q] \to [p\alpha_1\beta s\alpha_2 q] = \pi^{q_3^2}, & \text{where } |\alpha_1| \neq 0. \end{cases}$$

Let us note that vertices  $\pi^{p_3}$  and  $\pi^{q_3}$  should be joined by an external edge, which means that the order of segments in the permutations should be reversed. An analysis of non–empty segments in these permutations shows that external edges occur between the following vertices:

- $\pi^{p_3^1}$  and  $\pi^{q_3^2}$ , when  $|\alpha_2| = 0$  and  $|\beta_1| = 0$ ;
- $\pi^{p_3^2}$  and  $\pi^{q_3^1}$ , when  $|\alpha_1| = 0$  and  $|\beta_1| = 0$ ;
- $\pi^{p_3^2}$  and  $\pi^{q_3^2}$ , when  $|\beta| = 0$ .

There is no external edge between permutations  $\pi^{p_3^1}$  and  $\pi^{q_3^1}$  since they have the same order of elements in the segment  $s \overline{\beta_2}$ .

Thus, since  $|\alpha| = i - 2$ ,  $|\beta| = k - i - 1$ , then by using the external edge between  $\pi^{p_3^1}$  and  $\pi^{q_3^2}$ , where  $|\alpha_2| = 0$  and  $|\beta_1| = 0$ , we have  $|\alpha| \ge 1$ ,  $|\beta| \ge 1$ , and such an 8-cycle has the following form:

$$C_8^5 = r_k r_{k-i} r_{k-i+1} r_k r_{i-1} r_{k-1} r_k r_i, \text{ where } 3 \le i \le k-2, \ 5 \le k \le n,$$

the canonical form of which corresponds to (2.8) in Theorem 2.7.4.

Moreover, using the external edge between  $\pi^{p_3^2}$  and  $\pi^{q_3^1}$ , where  $|\alpha_1| = 0$ and  $|\beta_1| = 0$ , we have  $|\alpha| \ge 1$ ,  $|\beta| \ge 1$ , and such an 8-cycle has the form  $r_k r_{k-1} r_{i-1} r_k r_{k-i+1} r_{k-i} r_k r_i$ , where  $3 \le i \le k-2$ ,  $k \ge 5$ , the canonical form of which also corresponds to (2.8) in Theorem 2.7.4. Finally, using the external edge between  $\pi^{p_3^2}$  and  $\pi^{q_3^2}$ , where  $|\beta| = 0$ , we have i = k - 1,  $|\alpha_1| = j \ge 1$  and  $|\alpha_2| = k - 3 - j \ge 1$ , so we have one more 8-cycle of the following form:

$$C_8^6 = r_k r_{k-j-1} r_{k-j-2} r_k r_{j+1} r_{j+2} r_k r_{k-1}$$
, where  $1 \le j \le k-4, k \ge 5$ ,

the canonical form of which corresponds to (2.9) in Theorem 2.7.4 if we put j = i - 1.

Thus, the case of three copies is considered and all 8–cycles occurring in this case are found.

## Case 3: an 8-cycle within $P_k$ has vertices from four copies of $P_{k-1}$

Suppose that such an 8-cycle occurs on vertices  $\pi^{s_1}, \pi^{s_2}$  of a copy  $P_{k-1}(s)$ ,  $\pi^{t_1}, \pi^{t_2}$  of a copy  $P_{k-1}(t), \pi^{p_1}, \pi^{p_2}$  of a copy  $P_{k-1}(p), \pi^{q_1}, \pi^{q_2}$  of a copy  $P_{k-1}(q)$ , where  $1 \leq s \neq t \neq p \neq q \leq k$  (see Figure 17).

Let  $\pi^{q_1} = [s \alpha t \beta p \gamma q]$ , where  $|\alpha| \ge 0$ ,  $|\beta| \ge 0$ ,  $|\gamma| \ge 0$ . There are two ways of distributing vertices among four (k-1)-copies.

1) Suppose that the vertex  $\pi^{q_1}$  is adjacent to a vertex  $\pi^{s_1}$ , and a vertex  $\pi^{q_2}$  is adjacent to a vertex  $\pi^{t_1}$ . Then vertices  $\pi^{s_1}$  and  $\pi^{q_2}$  should be presented as follows:

$$\pi^{s_1} = \pi^{q_1} r_k = [q \,\overline{\gamma} \, p \,\overline{\beta} \, t \,\overline{\alpha} \, s], \qquad \pi^{q_2} = \pi^{q_1} \, r_{|\alpha|+2} = [t \,\overline{\alpha} \, s \,\beta \, p \,\gamma \, q],$$

and hence a vertex  $\pi^{t_1}$ , which is adjacent to  $\pi^{q_2}$ , has the following form:

$$\pi^{t_1} = \pi^{q_2} r_k = [q \,\overline{\gamma} \, p \,\overline{\beta} \, s \, \alpha \, t].$$



Figure 17. (2 + 2 + 2 + 2)-situation

A vertex  $\pi^{s_2}$  should be joined by an external edge with a vertex  $\pi^{p_1}$  of a copy  $P_{k-1}(p)$ . This means that  $\pi_1^{s_2} = p$  and we have:

$$\pi^{s_2} = \pi^{s_1} \, r_{|\gamma|+2} = [p \, \gamma \, q \, \overline{\beta} \, t \, \overline{\alpha} \, s],$$

and a vertex  $\pi^{t_2}$  should be joined by an external edge with a vertex  $\pi^{p_2}$  of a copy  $P_{k-1}(p)$ . This means that  $\pi_1^{t_2} = p$  and we have:

$$\pi^{t_2} = \pi^{t_1} r_{|\gamma|+2} = [p \gamma q \overline{\beta} s \alpha t].$$

Vertices  $\pi^{s_2}$  and  $\pi^{t_2}$  should be joined by external edges with vertices  $\pi^{p_1}$  and  $\pi^{p_2}$ , correspondingly, such that:

$$\pi^{p_1} = \pi^{s_2} r_k = [s \alpha t \beta q \overline{\gamma} p], \qquad \pi^{p_2} = \pi^{t_2} r_k = [t \overline{\alpha} s \beta q \overline{\gamma} p].$$

If an 8-cycle does exist, then vertices  $\pi^{p_1}$ ,  $\pi^{p_2}$  should be incident to the same internal edge, and hence, there should exist a prefix-reversal transforming  $\pi^{p_1}$  into  $\pi^{p_2}$ . It is obvious that such a prefix-reversal exists, namely,  $r_{|\alpha|+2}$ . If we set  $|\alpha| = i - 2$ ,  $|\beta| = j - i - 1$ ,  $|\gamma| = k - j - 1$ , where  $2 \leq i < j < k$ , then such an 8-cycle has the following form:

$$C_8^7 = r_k r_{k-j+1} r_k r_i r_k r_{k-j+1} r_k r_i$$
, where  $2 \le i < j \le k-1$ ,  $4 \le k \le n$ ,

the canonical form of which corresponds to (2.10) in Theorem 2.7.4.

2) Suppose that the vertex  $\pi^{q_1}$  is adjacent to a vertex  $\pi^{s_1}$ , and a vertex  $\pi^{q_2}$  is adjacent to a vertex  $\pi^{s_1}$ . Then vertices  $\pi^{s_1}$  and  $\pi^{q_2}$  should be presented as follows:

$$\pi^{s_1} = \pi^{q_1} r_k = [q \,\overline{\gamma} \, p \,\overline{\beta} \, t \,\overline{\alpha} \, s], \qquad \pi^{q_2} = \pi^{q_1} \, r_{|\alpha| + |\beta| + 3} = [p \,\overline{\beta} \, t \,\overline{\alpha} \, s \,\gamma \, q].$$

Hence the vertex  $\pi^{p_1}$ , which is adjacent to  $\pi^{q_2}$ , has the following form:

$$\pi^{p_1} = \pi^{q_2} r_k = [q \,\overline{\gamma} \, s \, \alpha \, t \, \beta \, p]$$

A vertex  $\pi^{s_2}$  should be joined by an external edge with a vertex  $\pi^{t_1}$  from a copy  $P_{k-1}(t)$ , which means that  $\pi_1^{s_2} = t$  and we have:

$$\pi^{s_2} = \pi^{s_1} r_{|\beta| + |\gamma| + 3} = [t \beta p \gamma q \overline{\alpha} s].$$

Analogically, a vertex  $\pi^{p_2}$  should be joined by an external edge with a vertex  $\pi^{t_2}$  from a copy  $P_{k-1}(t)$ , which means that  $\pi_1^{p_2} = t$ , hence we have:

$$\pi^{p_2} = \pi^{p_1} r_{|\alpha|+|\gamma|+3} = [t \,\overline{\alpha} \, s \,\gamma \, q \,\beta \, p].$$

Vertices  $\pi^{s_2}$  and  $\pi^{p_2}$  should be joined by external edges with vertices  $\pi^{t_1}$  and  $\pi^{t_2}$ , correspondingly, such that:

$$\pi^{t_1} = \pi^{t_2} r_k = [s \,\alpha \, q \,\overline{\gamma} \, p \,\overline{\beta} \, t], \qquad \pi^{t_2} = \pi^{p_2} \, r_k = [p \,\overline{\beta} \, q \,\overline{\gamma} \, s \,\alpha \, t]$$

In this case, an internal edge between vertices  $\pi^{t_1}$  and  $\pi^{t_2}$  does exist only if  $|\alpha| = |\beta| = |\gamma| = 0$ , which means that n = 4 and such an 8-cycle takes the form:

$$C_8^8 = r_4 r_3 r_4 r_3 r_4 r_3 r_4 r_3 r_4 r_3,$$

presented as the form (2.11) in Theorem 2.7.4.

Thus, all canonical forms for 8-cycles in  $P_n$ ,  $n \ge 4$ , are obtained.

Now we count the total number  $N_8$  of distinct 8-cycles passing through a given vertex in the graph. We denote

$$N_8 = \sum_{i=1}^8 N_{C_8^i},$$

where  $N_{C_8^i}$  corresponds to the number of distinct 8–cycles described by the canonical form  $C_8^i$ ,  $1 \leq i \leq 8$ , and passing through a given vertex. Let us note that any canonical form of an *l*–cycle describes *l* cycles (not necessarily

Let us consider all other cases and find  $N_8$ .

The canonical form  $C_8^1 = r_k r_j r_i r_j r_k r_{k-j+i} r_i r_{k-j+i}$ , where  $2 \leq i < j \leq k-1$  and  $4 \leq k \leq n$ , gives identical forms of 8-cycles when j = k - j + i such that: for a fixed k there are two identical forms for all j = k - j + i; for fixed k and i there are only two distinct forms for all j = k - j + i. So, the number of distinct 8-cycles is one quarter of the total number forms presented by the canonical form  $C_8^1$ :

$$N_{C_8^1} = \frac{8}{4} \sum_{k=1}^{n-3} \sum_{s=1}^k s = 2 \frac{(n-3)(n-2)(n-1)}{6} = \frac{(n-3)(n-2)(n-1)}{3}.$$

For any form obtained from the canonical form  $C_8^2 = r_k r_{k-1} r_2 r_{k-1} r_k r_2 r_3 r_2$ , where  $4 \leq k \leq n$ , there exists its reversed form, which means that the number of distinct 8-cycles is half of the total number forms presented by the canonical form  $C_8^2$ :

$$N_{C_8^2} = \frac{8(n-3)}{4} = 4(n-3).$$

The canonical form  $C_8^3 = r_k r_{k-i} r_{k-1} r_i r_k r_{k-i} r_{k-1} r_i$ , where  $2 \le i \le k-2$ and  $4 \le k \le n$ , consists of two identical parts, which means that it gives at least four distinct forms. Moreover, if k is even then for all  $i = \frac{k}{2}$  there are only two distinct forms. We count numbers  $m_1$  and  $m_2$  of distinct forms obtained from the canonical form  $C_8^3$  for all even  $2s + 2 \le n$  and all odd  $2s + 3 \le n$ , respectively. Using identical forms we have:

$$m_1 = 4 \sum_{s=1}^{\frac{n-2}{2}} s - 2\left(\frac{n}{2} - 1\right) = \frac{n(n-2)}{2} - (n-2) = \frac{(n-2)^2}{2},$$
$$m_2 = 4 \sum_{s=1}^{\frac{n-3}{2}} s = \frac{(n-3)(n-1)}{2}.$$

If n is even then  $N_{C_8^3} = \frac{(n-2)^2}{2} + \frac{((n-1)-3)((n-1)-1)}{2} = (n-2)(n-3)$ , and if n is odd then  $N_{C_8^3} = \frac{(n-3)(n-1)}{2} + \frac{((n-1)-2)^2}{2} = (n-2)(n-3)$ , hence for any  $n \ge 4$  we have

$$N_{C_8^3} = (n-2)(n-3).$$

Similar counts we use for the form  $C_8^4 = r_k r_{k-i+1} r_k r_i r_k r_{k-i} r_{k-1} r_{i-1}$ , where  $2 \leq i \leq k-2$  and  $5 \leq k \leq n$ , which gives identical forms of 8– cycles when i = k - i + 1, such that if k is odd then for all  $i = \frac{k+1}{2}$  there are only four distinct forms. Using identical forms we count the numbers  $m_1$  and  $m_2$  of distinct forms obtained from the canonical form  $C_8^4$  for all even  $2s + 4 \leq n$  and all odd  $2s + 3 \leq n$ , correspondingly:

$$m_1 = 8 \sum_{s=1}^{\frac{n-4}{2}} s = (n-2)(n-4),$$

$$m_2 = 8 \sum_{s=1}^{\frac{n-3}{2}} s - 4\left(\frac{n-1}{2} - 1\right) = (n-1)(n-3) - 2(n-3) = (n-3)^2.$$

If n is even then  $N_{C_8^4} = (n-2)(n-4) + ((n-1)-3)^2 = 2(n-3)(n-4)$ , and if n is odd then  $N_{C_8^4} = (n-3)^2 + ((n-1)-2)((n-1)-4) = 2(n-3)(n-4)$ , hence for any  $n \ge 5$  we have

$$N_{C_8^4} = 2(n-3)(n-4).$$

The canonical form  $C_8^5 = r_k r_{k-1} r_{i-1} r_k r_{k-i+1} r_k r_i$ , where  $3 \le i \le k-2$ ,  $5 \le k \le n$ , gives only distinct forms of 8-cycles, so we have:

$$N_{C_8^5} = 8 \sum_{s=1}^{k-4} s = 4 (n-3)(n-4).$$

The calculations for the canonical form  $C_8^6 = r_k r_{k-1} r_k r_{k-i} r_{k-i-1} r_k r_i r_{i+1}$ , where  $2 \leq i \leq k-3$  and  $5 \leq k \leq n$ , are similar to those we have for the canonical form  $C_8^4$ , so for any  $n \geq 5$  we have:

$$N_{C_8^6} = 2(n-3)(n-4).$$

The canonical form  $C_8^7 = r_k r_{k-j+1} r_k r_i r_k r_{k-j+1} r_k r_i$ , where  $2 \le i < j \le k-1$ and  $4 \le k \le n$ , consists of two identical parts, so it gives at most four

	sequence of vertices	algebraic description
1	1234-4321-2341-3241-4231-1324-3124-2134	$r_4 r_3 r_2 r_3 r_4 r_2 r_3 r_2$
2	$1234\hbox{-}3214\hbox{-}2314\hbox{-}1324\hbox{-}4231\hbox{-}2431\hbox{-}3421\hbox{-}4321$	$r_3r_2r_3r_4r_2r_3r_2r_4$
3	$1234\hbox{-}2134\hbox{-}3124\hbox{-}4213\hbox{-}2413\hbox{-}1423\hbox{-}4123\hbox{-}3214$	$r_2 r_3 r_4 r_2 r_3 r_2 r_4 r_3$
4	$1234\hbox{-}2134\hbox{-}4312\hbox{-}1342\hbox{-}3142\hbox{-}4132\hbox{-}2314\hbox{-}3214$	$r_2 r_4 r_3 r_2 r_3 r_4 r_2 r_3$
5	1234-4321-2341-3241-4231-1324-2314-3214	$r_4 r_3 r_2 r_3 r_4 r_3 r_2 r_3$
6	$1234\hbox{-}2134\hbox{-}3124\hbox{-}4213\hbox{-}1243\hbox{-}2143\hbox{-}4123\hbox{-}3214$	$r_2 r_3 r_4 r_3 r_2 r_3 r_4 r_3$
7	1234-4321-3421-2431-4231-1324-3124-2134	$r_4 r_2 r_3 r_2 r_4 r_2 r_3 r_2$
8	$1234\hbox{-}3214\hbox{-}2314\hbox{-}4132\hbox{-}1432\hbox{-}3412\hbox{-}4312\hbox{-}2134$	$r_3 r_2 r_4 r_2 r_3 r_2 r_4 r_2$
9	1234-4321-3421-1243-2143-3412-4312-2134	$r_4 r_2 r_4 r_2 r_4 r_2 r_4 r_2 r_4 r_2$
10	1234-4321-2341-1432-3412-2143-4123-3214	$r_4 r_3 r_4 r_3 r_4 r_3 r_4 r_3 r_4 r_3$

Table 2. 8-cycles in  $P_4$  containing the identity permutation I = [1234].

identical forms. However, if i = k - j + 1 then all forms are identical. So, the number of distinct 8-cycles is one-eighth of the total number of forms presented by the canonical form  $C_8^7$  and we have:

$$N_{C_8^7} = \frac{8}{8} \sum_{k=1}^{n-3} \sum_{s=1}^k s = \frac{(n-3)(n-2)(n-1)}{6}$$

Thus, summing all numbers for  $N_{C_8^i}$ ,  $1 \leq i \leq 8$ , we get the total number of distinct 8-cycles passing through a given vertex in the graph:

$$N_8 = \frac{n^3 + 12n^2 - 103n + 176}{2}.$$

This completes the proof of the main statement of Theorem.

The data produced by the given formula for  $N_8$  coincides with the data produced by a computer experiment. For example, there are 10, 43 and 103 distinct 8–cycles passing through each vertex for n = 4, 5 and 6. These data were obtained by M. Orlov, Novosibirsk State University. All 8–cycles passing through I = [1234] in  $P_4$  are presented in Table 2.

The total number of distinct 8-cycles in  $P_n$ ,  $n \ge 4$ , is obtained as follows. There are n! vertices in the graph, each of which belongs to  $N_8$ distinct 8-cycles. Hence, in total there are  $\frac{n!N_8}{8} = \frac{n!(n^3+12n^2-103n+176)}{16}$  distinct 8-cycles in the graph. In particular, there are 30 cycles of length eight in  $P_4$ .



Figure 18. Independent 8-cycles in  $P_4$ 

To show that there are  $\frac{n!}{8}$  independent 8-cycles in  $P_n$ ,  $n \ge 4$ , we use the hierarchical structure of the Pancake graph. In  $P_4$  there are three independent 8-cycles presented, for instance, as:

$$\begin{split} C_8^1 &= [1234] - [4321] - [2341] - [3241] - [4231] - [1324] - [2314] - [3214], \\ C_8^2 &= [2134] - [4312] - [1342] - [2431] - [3421] - [1243] - [4213] - [3124], \\ C_8^3 &= [3124] - [2413] - [1423] - [4123] - [2143] - [3412] - [1432] - [4132]. \end{split}$$

These independent 8-cycles are shown in Figure 18. From the hierarchical structure of  $P_n$ , it follows that there are  $\frac{n!}{24}$  copies of  $P_4$ , each of which consists of exactly three independent 8-cycles. Hence, in total we have  $\frac{n!}{8}$ independent 8-cycles in  $P_n$ . This completes the proof of Theorem.  $\Box$ 

As one can see, the Pancake graph has a very complicated cycle structure. This makes one of the main difficulties in solving the Pancake problem that is the problem of finding the diameter of the Pancake graph. This problem is still open. We consider this problem in the Section 3.2.

## Chapter 3

# The diameter problem

Cayley graphs tend to have a number of other desirable properties as well, including low diameter. There is the problem of establishing the diameter of a Cayley graph  $\Gamma = Cay(G, S)$ , that is the maximum, over  $g \in G$ , of the length of a shortest expression for g as a product of generators. Computing the diameter of an arbitrary Cayley graph over a set of generators is NP-hard since the minimal word problem is known to be NP-hard in general. This result was shown in 1981 by Shimon Even and Oded Goldreich in [30]. The diameter problem appears in the *Rubik's cube* puzzle. Recently it was announced [34] that every position of Rubik's Cube can be solved in twenty moves or less which represents the diameter of a corresponding Cayley graph. General upper and lower bounds are very difficult to obtain. Moreover, there is a fundamental difference between Cayley graphs of abelian and non-abelian groups.

## 3.1 Diameter of Cayley graphs on abelian and nonabelian groups

Laszlo Babai et al. [7] have considered in 1989 the diameter of Cayley graphs on non–abelian finite simple groups and the following result was obtained.

**Theorem 3.1.1** [7] Every non-abelian finite simple group G has a set of  $\leq 7$  generators such that the resulting Cayley graph has diameter  $O(\log_2 |G|)$ .

So, they have shown that each non–abelian simple group has a set of at most seven generators that yields a Cayley graph with logarithmic diameter (with constant factors). However, this property does not hold for Cayley graphs of abelian groups as it was shown in 1993 by Fred Annexstein and Marc Baumslag in [3]. They have found a lower bound on the diameter of Cayley graphs on abelian groups. Let us recall, that in an abelian group the result of applying the group operation to two group elements does not depend on their order, i.e. for any  $g, h \in G$  we have gh = hg, and every subgroup of an abelian group is normal.

By diam(Cay(G, S)) we denote the diameter of a Cayley graph Cay(G, S)on a group G with a generating set S. The result presented below is actually obtained for a Cayley digraph, which means that there are no restrictions for a generating set to be a symmetric or identity free.

**Theorem 3.1.2** [3] Let G be an abelian group with a generating set S of size r. The Cayley graph Cay(G, S) has the following diameter bound:

$$diam(Cay(G, S)) \geqslant \frac{1}{e} |G|^{1/r}.$$

*Proof.* Suppose that G is an abelian group with a generating set S of size r. Let n be the number of group elements that can be written as a product of  $\leq d = diam(Cay(G, S))$  elements from S. Since G is abelian, n is at most equal to the number of ways d objects can be chosen from the set of (r + 1) objects with repetition allowed. The set of (r + 1) objects is the set S with the identity element. The number of ways n is bounded as follows:

$$n \leqslant \binom{r+d}{d} = \frac{(r+d)!}{r!\,d!} \leqslant \frac{(r+d)^r}{r!} \leqslant \frac{(r\,d)^r}{r!} \leqslant (ed)^r.$$

Solving for d yields the given bound.

We can get a tighter bound in the case  $r \leq |G|^{1/r} \leq d$ . For then:

$$n \leqslant \binom{r+d}{d} = \frac{(r+d)!}{r!\,d!} \leqslant \frac{(r+d)^r}{r!} \leqslant \frac{(2d)^r}{r!} \leqslant \left(\frac{2ed}{r}\right)^r.$$

On the other hand, in 1988 it was conjectured by Laszlo Babai and Akos Seress [9] for non-abelian groups that the diameter will always be small.

**Conjecture 3.1.3** [9] There exist a constant c such that for every nonabelian finite simple group G, the diameter of every Cayley graph of G is  $\leq (\log_2 |G|)^c$ .

If the conjecture is true, one would expect to find Cayley graphs of these groups with small diameter. But this problem is open even for the *alternating groups*  $A_n$  consisting of all even permutations on  $\{1, \ldots, n\}$ . The first step towards a solution this conjecture was made by Babai and Seress [9] for the symmetric  $Sym_n$  and alternating  $A_n$  groups.

**Theorem 3.1.4** [9] If G is either  $Sym_n$  or  $A_n$  then the diameter of every Cayley graph of G is  $\leq exp((n \ln n)^{(1/2)}(1 + o(1)))$ .

Even for simple examples the exact diameter is unknown and there are only bounds. For example, the diameter of the Pancake graph is unknown.

## 3.2 Pancake problem

The original (unburnt) Pancake problem was posed in 1975 in the American Mathematical Monthly by Jacob E. Goodman [28] writing under the name "Harry Dweighter" (or "Harried Waiter") and it is stated as follows:

"The chef in our place is sloppy, and when he prepares a stack of pancakes they come out all different sizes. Therefore, when I deliver them to a customer, on the way to the table I rearrange them (so that the smallest winds up on top, and so on, down to the largest on the bottom) by grabbing several pancakes from the top and flips them over, repeating this (varying the number I flip) as many times as necessary. If there are n pancakes, what is the maximum number of flips (as a function of n) that I will ever have to use to rearrange them?"

It is clear that a stack of these n pancakes can be represented by a permutation on n elements and the problem is to find the minimum number of flips (prefix-reversals) needed to transform a permutation into the identity permutation. Clearly, this number of flips corresponds to the diameter  $diam(P_n)$  of the Pancake graph. There is the following open problem.

**Problem 3.2.1** What is the diameter  $diam(P_n)$  of the Pancake graph?

Simple lower and upper bounds for  $diam(P_n)$  are obtained as follows. Consider any stack of pancakes. An adjacency in this stack is a pair of pancakes that are adjacent in the stack, and such that no other pancake has size intermediate between two. If the largest pancake is on the bottom, this also counts as one extra adjacency. Now, for  $n \ge 4$  there are stacks of n pancakes that have no adjacencies and each move (flip) can create at most one adjacency. So, we have  $n \le diam(P_n)$ . For upper bounds, one can use the following procedure. Given any stack we may start by bringing the largest pancake on top and then flip the whole stack: the largest pancake is now at the bottom, after two flips. Inductively, bring to the top the largest pancake that has not been sorted yet, and then flip it to the bottom of the unsorted stack. So, by 2(n-1) flips we will have thus sorted the whole thing, and the upper bound is  $diam(P_n) \le 2(n-1)$ .

In 1979 W. H. Gates and Ch. H. Papadimitriou [33] presented the improved upper and lower bounds for the diameter of the Pancake graph as  $17n/16 \leq diam(P_n) \leq 5/3(n+1)$ . In the next section we give an algorithm for these bounds. Then bounds will be proved due to [33].

## 3.2.1 An algorithm by Gates and Papadimitrou

Let  $\pi = [\pi_1 \dots \pi_n]$  be a permutation and  $r_i, 2 \leq i \leq n$ , be a prefix-reversal such that  $[\pi_1 \dots \pi_i \pi_{i+1} \dots \pi_n]r_i = [\pi_i \dots \pi_1 \pi_{i+1} \dots \pi_n]$ . If  $|\pi_i - \pi_{i+1}| = 1$ ,  $1 \leq i \leq n-1$ , we say that the pair (i, i+1) is an *adjacency* in  $\pi$ . We also consider (i, i+1) to be adjacency if we have a segment  $[\pi_i \pi_{i+1}] = [1 n]$ or  $[\pi_{i+1}\pi_i] = [n 1]$  in  $\pi$ . Moreover, if  $\pi_1 = 1$  and  $\pi_n = n$  then the pair (1, n) is also an adjacency. All successive elements of a permutation having adjacency form a *block* in a permutation  $\pi$ . If element  $\pi_i$  is not in a block, i.e. (i - 1, i) and (i, i + 1) are not adjacencies in  $\pi$ , then it is a *free*. For example, in [654132] there are two blocks [654] and [32], and one free element 1, and in [321654] there are 5 adjacencies, one block (since [16] has an adjacency) and no one free elements.

Let us note that the identity permutation  $I_n = [123...n]$  has one block and *n* adjacencies. So, the presented algorithm sorts the permutation  $\pi$  so as to create a total *n* adjacencies. Moreover, at each step it is determined by what sequence of prefix-reversals (no more than 4) should be applied to increase an adjacency in a permutation. In total, there are nine different cases. In the description of the algorithm below we use o to stand for one of  $\{-1, 1\}$ . Addition is understood modulo n.

### The algorithm GP

**Step 0.** Input: a permutation  $\pi = [\pi_1 \pi_2 \dots \pi_n] \neq I_n$ . If a given permutation has n-1 adjacencies then go to **Step 2**, otherwise go to **Step 1**.

**Step 1.** Let  $\pi_1 = t$ . Then the following cases hold.

<u>Case 1.</u> If t and  $\pi_i = t + o$  are free elements then we get a new permutation  $\pi^*$  by the following way:

$$[t \dots \pi_{i-1} t + o \pi_{i+1} \dots \pi_n] r_{i-1} = [\pi_{i-1} \dots t t + o \pi_{i+1} \dots \pi_n] = \pi^*,$$

i.e.  $\pi^* = \pi r_{i-1}$ .

<u>Case 2.</u> If t is free and  $\pi_i = t + o$  is the first element of a block then we get a new permutation  $\pi^*$  as in Case 1:  $\pi^* = \pi r_{i-1}$ .

<u>Case 3.</u> If t is free,  $\pi_i = t + o$  and  $\pi_j = t - o$  are the last elements of blocks then we get a new permutation  $\pi^*$  by the following way:

$$\begin{bmatrix} t \dots \pi_{i-1} t + o \dots \pi_{j-1} t - o \dots \pi_n \end{bmatrix} \xrightarrow{r_i} \begin{bmatrix} t + o \pi_{i-1} \dots t \dots \pi_{j-1} t - o \dots \pi_n \end{bmatrix} \xrightarrow{r_{i-1}} \begin{bmatrix} \dots t + o t \dots \pi_{j-1} t - o \dots \pi_n \end{bmatrix} \xrightarrow{r_j} \begin{bmatrix} t - o \pi_{j-1} \dots t t + o \dots \pi_n \end{bmatrix} \xrightarrow{r_{j-i}} \rightarrow \begin{bmatrix} \dots t - o t t + o \dots \pi_n \end{bmatrix} = \pi^*,$$

i.e. a permutation  $\pi^*$  is obtained from a permutation  $\pi$  by applying four prefix-reversals as follows:

$$\pi^* = \pi \, r_i \, r_{i-1} \, r_j \, r_{j-i}.$$

<u>Case 4.</u> If t is in a block and  $\pi_i = t + o$  is free then we get a new permutation  $\pi^*$  as in Case 1:  $\pi^* = \pi r_{i-1}$ .

<u>Case 5.</u> If t is in a block and  $\pi_i = t + o$  is the first element of a block then we get a new permutation  $\pi^*$  as in Case 1:  $\pi^* = \pi r_{i-1}$ .

<u>Case 6.</u> If t is in a block with the last element  $\pi_s = t + k \cdot o, k > 0, \pi_j = t - o$  is the last element of another block and  $\pi_i = t + (k+1) \cdot o$  is free, then depending on the relative position of the two blocks and  $t + (k+1) \cdot o$ , a new permutation  $\pi^*$  is obtained by either way (a):

$$\begin{bmatrix} t \dots \pi_{s-1} \ t + k \cdot o \ \pi_{s+1} \dots \pi_{i-1} \ t + (k+1) \cdot o \ \pi_{i+1} \dots \pi_{j-1} \ t - o \dots \pi_n \end{bmatrix} \xrightarrow{r_i} \\ \begin{bmatrix} t + (k+1) \cdot o \ \pi_{i-1} \dots \pi_{s+1} \ t + k \cdot o \ \pi_{s-1} \dots t \ \pi_{i+1} \dots \pi_{j-1} \ t - o \dots \pi_n \end{bmatrix} \xrightarrow{r_{i-s}} \\ \begin{bmatrix} \dots \pi_{i-1} \ t + (k+1) \cdot o \ t + k \cdot o \ \pi_{s-1} \dots t \ \pi_{i+1} \dots \pi_{j-1} \ t - o \dots \pi_n \end{bmatrix} \xrightarrow{r_j} \\ \begin{bmatrix} t - o \dots \pi_{i+1} \ t \dots t + k \cdot o \ t + (k+1) \cdot o \dots \pi_n \end{bmatrix} \xrightarrow{r_{j-i}} \\ \begin{bmatrix} \dots t - o \ t \dots t + k \cdot o \ t + (k+1) \cdot o \dots \pi_n \end{bmatrix} = \pi^*, \end{aligned}$$

or way (b):

$$\begin{bmatrix} t \dots \pi_{s-1} \ t + k \cdot o \ \pi_{s+1} \dots \pi_{j-1} \ t - o \ \pi_{j+1} \dots \pi_{i-1} \ t + (k+1) \cdot o \ \pi_{i+1} \dots \pi_n \end{bmatrix} \xrightarrow{r_i} \begin{bmatrix} t + (k+1) \cdot o \ \pi_{i-1} \dots \pi_{j+1} \ t - o \ \pi_{j-1} \dots \pi_{s+1} \ t + k \cdot o \ \pi_{s-1} \dots t \dots \pi_n \end{bmatrix} \xrightarrow{r_{i-s}} \begin{bmatrix} \dots \pi_{j-1} \ t - o \ \pi_{j+1} \dots \pi_{i-1} \ t + (k+1) \cdot o \ t + k \cdot o \dots t \ \pi_{i+1} \dots \pi_n \end{bmatrix} \xrightarrow{r_i} \begin{bmatrix} t \dots t + k \cdot o \ t + (k+1) \cdot o \dots \pi_{j+1} \ t - o \dots \pi_n \end{bmatrix} \xrightarrow{r_{i-j+s}} \begin{bmatrix} \dots t + (k+1) \cdot o \ t + k \cdot o \dots t \ t - o \dots \pi_n \end{bmatrix} \xrightarrow{r_i} \begin{bmatrix} \dots t + (k+1) \cdot o \ t + k \cdot o \dots t \ t - o \dots \pi_n \end{bmatrix} = \pi^*.$$

Thus, in Case 6 (a) a permutation  $\pi^*$  is obtained from a permutation  $\pi$  by applying four prefix–reversals as follows:

$$\pi^* = \pi r_i r_{i-s} r_j r_{j-i}.$$

In Case 6 (b) a permutation  $\pi^*$  is obtained from a permutation  $\pi$  by also applying four prefix–reversals as follows:

$$\pi^* = \pi r_i r_{i-s} r_i r_{i-j+s}$$

<u>Case 7.</u> If t is in a block with the last element  $\pi_i = t + k \cdot o, k > 0$ , and  $\pi_j = t + (k+1) \cdot o$  is in a block, then depending on whether  $\pi_j$  is at the beginning (a), or the end (b) of its block, a permutation  $\pi^*$  is obtained from a permutation  $\pi$  as follows:

(a): 
$$\begin{bmatrix} t \dots \pi_{i-1} \ t + k \cdot o \ \pi_{i+1} \dots t + (k+1) \cdot o \ \pi_{j+1} \dots \pi_n \end{bmatrix} \xrightarrow{r_i} \\ \begin{bmatrix} t + k \cdot o \ \pi_{i-1} \dots t \ \pi_{i+1} \dots t + (k+1) \cdot o \ \pi_{j+1} \dots \pi_n \end{bmatrix} \xrightarrow{r_{j-1}}$$

$$[\dots t \dots t + k \cdot o \ t + (k+1) \cdot o \dots \pi_n] = \pi^*.$$
  
(b): 
$$[t \dots \pi_{i-1} \ t + k \cdot o \ \pi_{i+1} \dots \pi_{j-1} \ t + (k+1) \cdot o \dots \pi_n] \xrightarrow{r_j} [t + (k+1) \cdot o \ \pi_{j-1} \dots \pi_{i+1} \ t + k \cdot o \dots t \dots \pi_n] \xrightarrow{r_{j-i}} [\dots t + (k+1) \cdot o \ t + k \cdot o \dots t \dots \pi_n] = \pi^*.$$

Thus, in Case 7 (a) a permutation  $\pi^*$  is obtained from a permutation  $\pi$  by using two prefix–reversals:

$$\pi^* = \pi r_i r_{j-1}.$$

In Case 7 (b) a permutation  $\pi^*$  is also obtained from a permutation  $\pi$  by applying two prefix–reversals as follows:

$$\pi^* = \pi r_j r_{j-i}.$$

If a permutation  $\pi^*$  has n-1 adjacencies then  $\pi := \pi^*$  and go to Step 2, otherwise  $\pi := \pi^*$  and repeat Step 1.

**Step 2.** A permutation  $\pi$  with n-1 adjacencies has one block. Then there are two cases.

<u>Case 8.</u> Let  $\pi = [i - 1 \dots 1 n \dots i]$ , where  $\pi_i = n$ , then  $I_n$  is obtained by the following way:

$$\begin{bmatrix} i-1\dots 1 n\dots i \end{bmatrix} \xrightarrow{r_n} \begin{bmatrix} i\dots n 1\dots i-1 \end{bmatrix} \xrightarrow{r_{n-i+1}} \begin{bmatrix} n\dots i 1\dots i-1 \end{bmatrix} \xrightarrow{r_n}$$
$$\begin{bmatrix} i-1\dots 1 i\dots n \end{bmatrix} \xrightarrow{r_{i-1}} \begin{bmatrix} 1\dots i-1 i\dots n \end{bmatrix} = I_n,$$

i.e. the identity permutation is obtained from a permutation  $\pi$  by applying four prefix–reversals such that:

$$I_n = \pi r_n r_{n-i+1} r_n r_{i-1}.$$

<u>Case 9.</u> Let  $\pi = [i \dots n 1 \dots i - 1]$ , where  $\pi_{n-i+1} = n$ , then  $I_n$  is obtained by the following way:

$$\begin{bmatrix} i \dots n \ 1 \dots i - 1 \end{bmatrix} \stackrel{r_{n-i+1}}{\to} \begin{bmatrix} n \dots i \ 1 \dots i - 1 \end{bmatrix} \stackrel{r_n}{\to} \begin{bmatrix} i - 1 \dots 1 \ i \dots n \end{bmatrix} \stackrel{r_{i-1}}{\to} \begin{bmatrix} 1 \dots i - 1 \ i \dots n \end{bmatrix} = I_n,$$

i.e. the identity permutation is obtained from a permutation  $\pi$  by applying three prefix–reversals such that:

$$I_n = \pi r_{n-i+1} r_n r_{i-1}.$$

## The end

## 3.2.2 Upper bound on the diameter of the Pancake graph

**Theorem 3.2.2** [33] Algorithm GP creates the identity permutation by at most (5n + 5)/3 prefix-reversals.

*Proof.* First, it is clear that if we have a permutation with less than n-1 adjacencies, one of the Cases 1–7 is applicable. Hence, the algorithm does not halt unless n-1 adjacencies have been created. Then one of the Cases 8–9 is applicable to get the identity permutation with n adjacencies and one block. Then the algorithm is finished. Obviously, the algorithm will eventually halt, since at each execution of the main loop at least one new adjacency is created and none are destroyed. It remains to prove that it does so in no more than (5n + 5)/3 prefix–reversals.

Call the action of Case *i* as action of a type *i* (or just action *i*). Let  $x_i$ , where  $i = 1, \ldots, 9$ , denote the number of actions of type *i* performed by an execution of the algorithm assuming that  $x_3 = x_6$ . Then the total number *z* of prefix-reversals given by the Cases 1–7 are presented as follows:

$$z = x_1 + x_2 + 4x_3 + x_4 + x_5 + 2x_7,$$

where  $x_i$  is multiplied by the number of prefix-reversals involved in the corresponding case. Action 3 can be divided into four special cases, according to what happens in the corresponding flipping that comes before the last. The top of stack before the flipping and the element next to t - o may either: 1) be non-adjacent; 2) form a new block; 3) merge a block with a singleton; 4) merge two blocks. Accordingly, we distinguish among these subcases by writing  $x_3 = x_{31} + x_{32} + x_{33} + x_{34}$ .

Now, since each action increases the number of adjacencies as indicated in the table below, the total number of n-1 adjacencies in the conclusion of the Step 1 (Cases 1–7) of the algorithm is presented as:

$$n - 1 = a + x_1 + x_2 + 2x_{31} + 3x_{32} + 3x_{33} + 3x_{34} + x_4 + x_5 + x_7, \quad (3.1)$$

where a is a number of adjacencies in a given permutation  $\pi$ .

Finally, if b is the number of blocks in a given permutation  $\pi$  then we have:

$$b + x_1 - x_{31} - x_{33} - 2x_{34} - x_5 - x_7 = 1, (3.2)$$
Action	1	2	31	32	33	34	4	5	7
number of prefix–reversals	1	1	4	4	4	4	1	1	2
increase in adjacencies	1	1	2	3	3	3	1	1	1
increase in number of blocks	1	0	-1	0	-1	-2	0	-1	-1

because each type of actions increases or decreases the number of blocks as indicated in the table above, we start with b blocks and we end up with 1 block. Also notice that  $b \leq a$ , whereby, from (3.1) becomes:

$$x_1 + x_2 + 2x_{31} + 3x_{32} + 3x_{33} + 3x_{34} + x_4 + x_5 + x_7 + b \leqslant n - 1.$$
(3.3)

Thus, any possible application of the algorithm would, at worst,

maximize 
$$z = x_1 + x_2 + 4x_3 + x_4 + x_5 + 2x_7$$
,

subject to (3.2) and (3.3). Then it is *claimed* that the maximum is achieved for the values:

$$x_1 = (n+1)/3, x_2 = 0, x_3 = x_{31} = (n-2)/3, x_4 = x_5 = x_7 = b = 0,$$

yielding a value of z equal to z = (5n - 7)/3. To show this claim, recall the duality Theorem stating that this maximum value equals the minimum values of the *dual linear program*:

minimize 
$$\omega = \xi_2 + (n-1)\xi_3$$
,

subject to the inequalities:

$$\begin{split} \xi_2 + \xi_3 &\ge 1, \\ \xi_3 &\ge 1, \\ -\xi_2 + 2\xi_3 &\ge 4, \\ 3\xi_3 &\ge 4, \\ -\xi_2 + 3\xi_3 &\ge 4, \\ -2\xi_2 + 3\xi_3 &\ge 4, \\ \xi_3 &\ge 1, \\ -\xi_2 + \xi_3 &\ge 1, \\ -\xi_2 + \xi_3 &\ge 2, \\ \xi_2 + \xi_3 &\ge 0, \end{split}$$

where  $\xi_2$  and  $\xi_3$  correspond to increases in number of blocks and in adjacencies, correspondingly (see the table above).

Thus, in order to prove the claim, we just have to exhibit a pair  $(\xi_2, \xi_3)$  satisfying these inequalities and having  $\omega = \xi_2 + (n-1)\xi_3 = (5n-7)/3$ . And such pair is  $\xi_2 = -2/3$ ,  $\xi_3 = 5/3$ .

Thus, we get a permutation with n-1 adjacencies in no more than (5n-7)/3 prefix-reversals. The bound (5n+5)/3 now follows directly, since it takes four more prefix-reversals to transform a permutation with n-1 adjacencies to the identity permutation which follows from the Cases 8–9 of the algorithm. Finally, algorithm GP creates the identity permutation by at most (5n+5)/3 prefix-reversals.

#### 3.2.3 Lower bound on the diameter of the Pancake graph

Let  $\tau = [17536428]$ . For k, a positive integer,  $\tau_k$  denotes  $\tau$  with each of the integers increased by 8(k-1). In other words,  $\tau_k = [1_k 7_k 5_k 3_k 6_k 4_k 2_k 8_k]$  where  $m_k = m + 8(k-1)$ . Consider the permutation

$$\chi = \tau_1 \tau_2^R \tau_3 \tau_4^R \cdots \tau_{m-1} \tau_m^R, \qquad (3.4)$$

where m is an even integer,  $n = |\chi| = 8 m$ ,  $\tau = \tau_1$ , and  $\tau_k^R = \tau_k r_8 = [8_k 2_k 4_k 6_k 3_k 5_k 7_k 1_k]$  for any even  $k \leq m$ .

Let  $f(\chi)$  be the number of prefix-reversals transforming a permutation  $\chi$  to the identity permutation. Then the following theorem takes place.

#### **Theorem 3.2.3** [33] $17 n/16 \leq f(\chi) \leq 19 n/16$ for *n* a multiple of 16.

*Proof.* To show the upper bound, we first do the following sequences of prefix–reversals:

$$\chi \to \tau_2 \, \tau_1^r \, \tau_3 \, \cdots \to \tau_2^r \, \tau_1^r \, \tau_3 \, \cdots \to \tau_1 \, \tau_2 \, \tau_3 \, \cdots$$

and so on, bringing the even-indexed  $\chi$ 's in front and then back with the reversal canceled in three moves. Thus, in 3n/16 moves we obtain a permutation  $\chi' = \tau_1 \tau_2 \tau_3 \tau_4 \cdots \tau_{m-1} \tau_m$ . Then, for each copy of  $\tau$  in  $\chi'$  we repeat the following sequence of eight moves (among a number of possibilities):

$$\chi' = x17536428y \rightarrow 571x^r 36428y \rightarrow 63x175428y \rightarrow 1x^r 3675428y \rightarrow 0$$

$$\rightarrow 45763x128y \rightarrow 67543x128y \rightarrow 76543x128y \rightarrow 21x^r 345678y \rightarrow x12345678y.$$

Since n = 8 m then it takes n prefix-reversals for such moves. Thus, in a total of 19 n/16 prefix-reversals one can produce the identity permutation starting from  $\chi$ , and the upper bound is established:  $f(\chi) \leq 19 n/16$ .

For the lower bound, let

$$\chi \equiv \chi_0 \to \chi_1 \to \chi_2 \to \ldots \to \chi_{f(\chi)} \equiv I_n \tag{3.5}$$

be an optimal sequence of moves for  $\chi$ . Each of  $\chi_i$  for any  $i = 1, \ldots, f(\chi)$  is called a *move*. Let us call a move k-stable, if it contains a substring of the form  $1_k 7_k \sigma 2_k 8_k$  (or its reverse), where  $\sigma$  is a permutation of  $\{3_k, 4_k, 5_k, 6_k\}$ . We say that  $\chi_i$  is an *event*, if  $\chi_{i-1}$  is k-stable for some k but  $\chi_i, \chi_{i+1}, \ldots, \chi_{f(\chi)}$ are not.

#### Claim 1. There are exactly m events in (3.5).

To prove Claim 1, we notice that  $\chi_0$  is k-stable for  $k = 1, \ldots, m$ , and  $\chi_{f(\chi)}$  is not k-stable for any k. Furthermore, no permutation can stop being  $k_1$ -stable and  $k_2$ -stable,  $k_1 \neq k_2$ , in only one move.  $\Box$ 

Let us call  $\chi_i$  a *waste* if  $\chi_i$  has no more adjacencies than  $\chi_{i-1}$ . (Here, by an adjacency in  $\sigma$  we mean any pair (i, i+1) such that either  $1 \leq i < n$ and  $|\sigma_i - \sigma_{i+1}| = 1$ , or  $\sigma_1 = 1$ ,  $\sigma_n = n$ ). Let w denote the total number of wastes among  $\{\chi_i : i = 1, \ldots, f(\chi)\}$ .

## Claim 2. $n + w \leq f(\chi)$ .

To see why this is true, one just has to notice that  $\chi$  has no adjacencies, the identity permutation has n adjacencies, and any move that is not a waste creates just one adjacency.  $\Box$ 

By Claim 1 one can conclude that in the optimal sequence that we are considering (3.5) there are m events as shown below:

$$\chi_{i_1} \xrightarrow{*} \chi_{i_2} \xrightarrow{*} \chi_{i_3} \xrightarrow{*} \dots \xrightarrow{*} \chi_{i_m},$$
 (3.6)

where  $\stackrel{*}{\rightarrow}$  is the transitive closure of  $\rightarrow$ , which means that we are interesting only in moves from an event to an event, and others moves are omitted.

## **Claim 3.** For all $j, 1 \leq j \leq m-1$ , there exist a waste $\chi_l$ with $i_j \leq l \leq i_{j+1}$ .

To prove claim 3, suppose that it fails. In other words, suppose that there is an event  $i_j$  other than the last one, such that all moves  $\chi_l$ , where  $i_j \leq l \leq i_{j+1}$ , construct a new adjacency without destroying an existing adjacency. Suppose that k is the appropriate index for which  $\chi_{i_j-1}$  is the last k-stable permutation in the sequence considered. Then,  $\chi_{i_j-1} =$  $[x \, l_k 7_k \sigma 2_k 8_k y]$ , where x and y are strings of integers and  $\sigma$  is a permutation of  $\{3_k, 4_k, 5_k, 6_k\}$ . Notice that since our basic string  $\tau = [17536428]$  is symmetric (in that i + j = 9 if and only if  $\tau_i + \tau_j = 9$ ) this is not a loss of generality. For simplicity in our notation, we shall omit the subscript k in the rest of this part of our argument; we shall also assume that  $\sigma =$ [5364], since the argument is identical for any  $\sigma$ ). Thus, the last k-stable permutation in the sequence (3.6) is presented as  $\chi_{i_j-1} = [x \, 17536428y]$ . We distinguish among two cases.

Case 1. Let x be the empty string. Since  $\chi_{i_j}$  is neither waste nor k-stable, we must have a permutation with a new adjacency:

$$\chi_{i_i} = [46357128y].$$

Now, we must not, according to our hypothesis, have a waste until *after* the next event. This, however, is impossible, since the first move after  $\chi_{i_j}$ , which flips more than four elements is a waste.

Case 2. Let x be not the empty string. That is  $\chi_{i_j-1} = [x17536428y]$ . Since  $\chi_{i_j}$  is neither a waste nor k-stable, it must be the case that x = 9z and  $\chi_{i_j} = [2463571z^R98y]$ . Again, we must not have a waste until after the next event. This means that the only moves permitted are local rearrangements of the integers  $\{1, 2, 3, 4, 5, 6, 7\}$ ; thus

$$\chi_{i_j} = [2463571z^r 98y] \stackrel{*}{\to} [7654321z^r 98y].$$

Again, the next move has to be a waste.  $\Box$ 

The theorem now follows directly from Claims 1, 2 and 3:

$$f(\chi) \ge n + w \ge n + \frac{m}{2} = 17 n/16.$$

Thus, from this theorem we immediately have the following result. Corollary 3.2.4  $17 n/16 \leq diam(P_n)$  for n a multiple of 16.

#### 3.2.4 Improved bounds by Heydari and Sudborough

Gates and Papadimitrou concluded in [33] that

"... slightly better lower bounds may be conceivably proved by using different  $\tau'$  – of length 7, say. However, we do not know how the upper and lower bounds can be narrowed significantly."

A lower bound was improved in 1997 by Heydari and Sudborough [40] on the basic permutation  $\zeta = [1753642]$ . Then as above for k, a positive integer,  $\zeta_k = [1_k 7_k 5_k 3_k 6_k 4_k 2_k]$  where  $m_k = m + 7(k - 1)$ . Consider the permutation

$$\varphi_n = \zeta_1 \, \zeta_2 \, \cdots \, \zeta_m, \tag{3.7}$$

where m is an even integer,  $n = |\zeta| = 7 m$ ,  $\zeta = \zeta_1$ .

Let  $f(\varphi_n)$  be the number of prefix-reversals transforming a permutation  $\varphi_n$  to the identity permutation. Then the following theorem holds.

**Theorem 3.2.5** [40]  $15 n/14 \leq f(\varphi_n)$  for all  $n \equiv 0 \pmod{14}$ .

The proof is similar to that given by Gates and Papadimitrou [33]. *Proof.* Let

$$\varphi \equiv \varphi_0 \to \varphi_1 \to \ldots \to \varphi_{f(\varphi_n)} \equiv I_n \tag{3.8}$$

be an optimal sequence of moves for  $\varphi_n$ . Each of  $\varphi_i$ ,  $i = 1, \ldots, f(\varphi_n)$ , is called a *move*. A move is called *k*-stable if it contains a substring of the form

$$[1_k 7_k \sigma 2_k 8_k] = [1_k 7_k \sigma 2_k 1_{k+1}]$$

(or its reverse), where  $\sigma$  is a permutation of  $\{3_k, 4_k, 5_k, 6_k\}$ . (Assuming that  $8_k = 1_{k+1}$ , i.e., the (n + 1)th pancake, is in its correct place at the bottom of the stack). We say that  $\varphi_i$  is an *event* if  $\varphi_{i-1}$  is k-stable, for some k, but  $\varphi_i, \varphi_{i+1}, \ldots, \varphi_{f(\varphi_n)}$  are not.

#### Claim 1. There are exactly m events in (3.8).

To prove Claim 1, we notice that  $\varphi_0$  is k-stable for all  $k = 1, \ldots, m$ , and  $\varphi_{f(\varphi_n)}$  is not k-stable for any k. Furthermore, no permutation can stop being  $k_1$ -stable and  $k_2$ -stable in only one move for  $k_1 \neq k_2$ .  $\Box$  A waste is defined as above:  $\varphi_i$  is a waste if it has no more adjacencies than  $\varphi_{i-1}$ . The total number of wastes among  $\{\varphi_i : i = 1, \ldots, f(\varphi_n)\}$  is denoted by w.

#### Claim 2. $n+w \leq f(\varphi_n)$ .

This Claim is true because  $\varphi_n$  has no adjacencies, and the identity permutation  $I_n$  has n adjacencies, and any move that is not a waste creates just one adjacency.  $\Box$ 

By Claim 1, it is concluded that in the optimal sequence we consider (3.8) there are *m* events as shown by:

$$\varphi_{i_1} \stackrel{*}{\to} \varphi_{i_2} \stackrel{*}{\to} \varphi_{i_3} \stackrel{*}{\to} \dots \stackrel{*}{\to} \varphi_{i_m}, \tag{3.9}$$

where  $\stackrel{*}{\rightarrow}$  is the transitive closure of  $\rightarrow$ , which means that we are interesting only in moves from an event to an event, and others moves are omitted.

#### **Claim 3.** For all $j, 1 \leq j \leq m-1$ , there exist a waste $\varphi_l$ with $i_j \leq l \leq i_{j+1}$ .

To prove Claim 3, suppose that there is an event  $i_j$  other than the last one, such that all moves  $\varphi_l$ ,  $i_j \leq l \leq i_{j+1}$ , construct a new adjacency without destroying an existing adjacency. Let k be the appropriate index for which  $\varphi_{i_j-1}$  is the last k-stable permutation in the sequence (3.8). Then

$$\varphi_{i_j-1} = [x \, 1_k 7_k \sigma 2_k 8_k \, y] = [x \, 1_k 7_k \sigma 2_k 1_{k+1} \, y],$$

where x and y are strings of integers and  $\sigma$  is a permutation of  $\{3_k, 4_k, 5_k, 6_k\}$ . Notice that because the string  $\zeta = [1_k 7_k 5_k 3_k 6_k 4_k 2_k 8_k]$  is symmetric (in that i+j=9 if and only if  $i_k + j_k = 9 + 2(k-1)7$ ), this is without loss of generality. For simplicity,  $i_k$  is replaced just by i in the rest of the argument; it is also assumed that  $\sigma = [5364]$  because the argument is identical for any  $\sigma$ . Thus, the last k-stable permutation in the sequence (3.8) is presented as:

$$\varphi_{i_i-1} = [x \, 17536428y].$$

We distinguish two cases.

Case 1. Let x be the empty string. Whereas  $\varphi_{i_j}$  is neither a waste nor k-stable, we must have a permutation with a new adjacency:

$$\varphi_{i_j} = [46357128y].$$

Now, we must not, according our hypothesis, have a waste until *after* the next event. This, however, is impossible, because the first move after  $\varphi_{i_j}$  which flips more that four elements is a waste. Note that  $8_k = 1_{k+1}$  remains fixed in any prefix-reversal of the four elements, and therefore all such prefix-reversals do not change whether  $\varphi_{i_j-1}$  contains (or does not contain) the substring  $[1_{k+1}7_{k+1}\sigma 2_{k+1}8_{k+1}]$  (or its reversal) for any  $\sigma$ . Also, whereas  $1_k = 8_{k-1}$  is the first element in  $\varphi_{i_j-1}$  and therefore quite apparently is not next to  $2_{k-1}$ , the move  $\varphi_{i_j-1}$  does not contain the substring  $[8_{k-1}2_{k-1}\sigma 7_{k-1}1_{k-1}]$  (or its reversal) for any  $\sigma$ . Whereas any prefix-reversal of the first four elements will not create such a substring and break it, there is no event created by such prefix-reversals that involves (k-1)-stability.

Case 2. Let x be not the empty substring. That is,  $\varphi_{i_j-1} = [x17546428y]$ . Since  $\varphi_{i_j}$  is neither a waste nor k-stable, it must be the case that x = 9z for some z and  $\varphi_{i_j} = [2463571z^R98y]$ . Again, we must not have a waste until after the next event. This means the only moves permitted are local rearrangements of the integers  $\{1, 2, 3, 4, 5, 6, 7\}$ ; thus

$$\varphi_{i_i} = [2463571z^R98y] \xrightarrow{*} [7654321z^r98y].$$

Again, the next move has to be a waste. Suppose that  $\varphi_{i_j}$  contains a substring of the form  $[1_{k-1}7_{k-1}\sigma 2_{k-1}8_{k-1}]$  for some  $\sigma$ . Recall that  $8_{k-1} = 1_k$ , so each move involving a local permutation of the integers  $\{1, 2, 3, 4, 5, 6, 7\}$ , including the move  $\varphi_{i_j} = [2463571z^r98y]$ , does not change the status of (k-1)-stability. Similarly, for (k+1)-stability, because the symbol  $8_k = 1_{k+1}$  remains fixed throughout.  $\Box$ 

Theorem 3.2.5 now follows directly from Claims 1, 2 and 3:

$$f(\varphi_n) \ge n + w \ge n + \frac{m}{2} = 15 n/14.$$

#### **Corollary 3.2.6** $15 n/14 \leq diam(P_n)$ for *n* a multiple of 14.

Recently an improved upper bound was presented by Hal Sudborough in cooperation with a team from University of Texas at Dallas (see [21]) as follows:

$$diam(P_n) \leqslant 18n/11.$$

#### 3.2.5 Exact values on the diameter of the Pancake graph

Heydari and Sudborough also computed the diameter of the Pancake graph  $P_n$  up to n = 13. The diameter for n = 14, 15 was found in 2005 by Yuusuke Kounoike, Keiichi Kaneko, and Yuji Shinano [56]. In one year, in 2006 the same authors and Shogo Asai [4] showed the diameter for n = 16, 17. In 2011 the diameter for n = 18, 19 was found by Cibulka [19].

The table of the values for the diameter  $d = diam(P_n)$  of the Pancake graph  $P_n$ , where  $2 \leq n \leq 19$ , is presented below:

n	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
d	1	3	4	5	7	8	9	10	11	13	14	15	16	17	18	19	20	22

## 3.3 Burnt Pancake problem

The Burnt Pancake problem was introduced by Gates and Papadimitrou in [33]. This problem concerns sorting a stack of pancakes not only of different sizes but each with side burnt. Initially, the pancakes are arbitrary ordered and each pancake may have either side up. After sorting, the pancakes must not only be in size order, but must have their burnt sides face down. Two-sided pancakes can be represented by a signed permutation on n elements with some elements negated.

So, in this case we deal with the hyperoctahedral group  $B_n$ , which is defined as the group of all permutations  $\pi^{\sigma}$  acting on the set  $\{\pm 1, \ldots, \pm n\}$ such that  $\pi^{\sigma}(-i) = -\pi^{\sigma}(i)$  for all  $i \in \{1, \ldots, n\}$ . An element of  $B_n$  is a signed permutation, i.e. a permutation with a sign attached to every entry and determined by two pieces of information:  $|\pi(|i|)|$ , which permutes  $\{1, \ldots, n\}$ , and the sign of  $\pi^{\sigma}(i)$  for  $1 \leq i \leq n$ . This gives a bijection between  $B_n$  and the wreath product  $\mathbb{Z}_2 \wr Sym_n$  of the "sign-change" cyclic group  $\mathbb{Z}_2$  with the symmetric group  $Sym_n$ ; thus  $|B_n| = 2^n n!$ .

The Burnt Pancake problem consists of finding the diameter of the Cayley graph  $BP_n$ ,  $n \ge 2$ , which is defined on the hyperoctahedral group  $B_n$ of signed permutations and generated by sign-change prefix-reversals  $r_i^{\sigma}$ ,  $1 \leq i \leq n$ , changing signs of reversing elements from any substring [1, i], of a signed permutation  $\pi^{\sigma}$  when multiplied on the right, i.e.

$$[\pi_1 \dots \overline{\pi}_j \dots \pi_i \dots \pi_n] r_i^{\sigma} = [\overline{\pi}_i \dots \overline{\pi}_j \dots \overline{\pi}_1 \dots \overline{\pi}_n].$$

Let us note that the sign-change prefix-reversals  $r_1^{\sigma}$  just changes a sign of the first element of permutation. The Cayley graph defined above is called *Burnt Pancake graph* [52].

There is the following open problem.

#### **Problem 3.3.1** What is the diameter of the Burnt Pancake graph?

Gates and Papadimitrou [33] gave the following bounds on the diameter of the Burnt Pancake graph:

$$3n/2 - 1 \leqslant diam(BP_n) \leqslant 2n + 3.$$

The improved bounds for the diameter  $diam(BP_n)$  of the Burnt Pancake graph were given by Cohen and Blum [23]:

$$3n/2 \leq diam(BP_n) \leq 2n-2,$$

where the upper bound holds for  $n \ge 10$ .

It was also conjectured that the worst case for sorting signed permutations (burnt pancakes) is the negative identity permutation  $I_n^- = [-1 - 2 \dots - n]$ . Later Hyedari and Sudborough [40] showed that if the conjecture is true then the diameter of the Burnt Pancake graph is

$$diam(BP_n) \leq 3(n+1)/2,$$

since  $I_n^-$  can be sorted in 3(n+1)/2 steps for all  $n = 3 \pmod{4}$  and  $n \ge 23$ . Currently, exact values of  $d = diam(BP_n)$  are known for  $n \le 18$  and presented as follows:

n	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
d	1	4	6	8	10	12	14	15	17	18	19	21	22	23	24	26	28	29

## 3.4 Sorting by reversals

In general, a pancake stack is an example of a data structure. In computer science and molecular biology the problem presented above is also related to sorting by prefix-reversals. The Pancake graph has practical applications in parallel processing since it corresponds to the n-dimensional pancake network such that this network has processors labeled with each of the n! distinct permutations of length n. Two processors are connected when the label of one is obtained from the other by some prefix-reversal. The diameter of this network corresponds to the worst communication delay for transmitting information in a system. The pancake sorting can also provide an effective routing algorithm between processors. There is a very nice survey by Marie–Claude Heydemann [39] about Cayley graphs as interconnection networks, which can be recommended for more details.

Recent advances in genome identification have also brought to light questions in molecular biology very similar to the Pancake problem. Differences in genomes are usually explained by accumulated differences built up in the genetic material due to random mutation and random mating. Another mechanism of evolution was discovered in 1986 by Jeffrey D. Palmer and Laura A. Herbon [67]. Comparing two genomes one can often find that these two genomes contain the same set of genes. But the order of the genes is different in different genomes. For example, it was found that both human X chromosome and mouse X chromosome contain eight genes that are identical. In human, the genes are ordered as [46172358] and in mouse, they are ordered as [12345678]. It was also found that a set of genes in cabbage are ordered as [1 - 54 - 32] and in turnip, they are ordered as [12345]. The comparison of two genomes is significant because it provides us some insight as to how far away genetically these species are. If two genomes are similar to each other, they are genetically close. This has inspired some molecular biologists to look at the mechanisms which might shuffle the order of the genetic material. One way of doing this is the prefix-reversals or just reversals. Analyzing the transformation from one species to another is analogous to the problem of finding the shortest series of reversals to transform one into the other.

In the 1980's it was shown that the difference in genomes is also ex-

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plained by a small number of reversals which are the operations reversing the order of a substring of a permutation. Reversal distance measures the amount of evolution that must have taken place at the chromosome level, assuming evolution proceeded by inversion. More precisely, a reversal  $r_{i,j}$ is the operation of reversing segments [i, j],  $1 \leq i < j \leq n$ , of a permutation when multiplied on the right, i.e.  $[\dots \pi_i \pi_{i+1} \dots \pi_{j-1} \pi_j \dots] r_{i,j} =$  $[\dots \pi_j \pi_{j-1} \dots \pi_{i+1} \pi_i \dots]$ . The reversal distance  $d(\pi, \tau)$  between two permutations  $\pi$  and  $\tau$  is the least number d of reversals needed to transform  $\pi$ into  $\tau$ , i.e.,  $\pi r_{i_1,j_1} \dots r_{i_d,j_d} = \tau$ . The corresponding Cayley graph defined on the symmetric group and generated by the reversals is called the Reversal graph  $Sym_n(R)$ . It was defined in Section 1.3.5 where some its properties were also presented.

The problem of determining the smallest number of reversals required to transform a given permutation into the identity permutation is called *sorting by reversals*. Mathematical analysis of the problem was initiated by David Sankoff [73] in 1992, and then continued by another authors. There are two algorithmic subproblems.

The first one is to find the reversal distance  $d(\tau_1, \tau_2)$  between two permutations  $\tau_1$  and  $\tau_2$ . Notice that the reversal distance between  $\tau_1$  and  $\tau_2$ is equal to the reversal distance between  $\pi = \tau_2^{-1}\tau_1$  and the identity permutation  $I_n$ . It was shown by John Kececioglu and David Sankoff [46] in 1995 and by Vineet Bafna and Pavel Pevzner [11] in 1996 that

$$\max_{\pi \in Sym_n} d(\pi, I_n) = n - 1.$$

The path distance in the Reversal graph  $Sym_n(R)$  corresponds to the reversal distance between two permutations. Hence, its diameter is n-1, and the only permutations needing these many reversals are the Gollan permutation  $\gamma_n$  and its inverse, where the Gollan permutation, in one–line notation, is defined as follows

$$\gamma_n = \begin{cases} [315274\dots n - 3n - 5n - 1n - 4nn - 2], & \text{if } n \text{ is even} \\ [315274\dots n - 6n - 2n - 5nn - 3n - 1], & \text{if } n \text{ is odd.} \end{cases}$$

As it was shown above, the signed permutations are also used to represent genomes when a direction of genes is significant. The *reversal dis*tance  $\rho(\pi^{\sigma}, \tau^{\sigma})$  between two signed permutations  $\pi^{\sigma}$  and  $\tau^{\sigma}$  is the least number  $\rho$  of sign-change reversals needed to transform  $\pi^{\sigma}$  into  $\tau^{\sigma}$ , i.e.,  $\pi^{\sigma}r_{i_1,j_1}^{\sigma} \dots r_{i_d,j_d}^{\sigma} = \tau^{\sigma}$ . The *Reversal graph*  $B_n(R^{\sigma})$  is defined on  $B_n$  and generated by the sign-change reversals from the set  $R^{\sigma} = \{r_{i,j}^{\sigma} \in B_n, 1 \leq i \leq j \leq n\}$ , where a sign-change reversal  $r_{i,j}^{\sigma}$ ,  $1 \leq i \leq j \leq n$ , is defined as the operation of reversing segments [i, j] of a signed permutation  $\pi^{\sigma}$  with flipping the signs of its elements, e.g.

$$[\dots \pi_i \,\overline{\pi}_{i+1} \dots \pi_{j-1} \,\pi_j \dots] r_{i,j}^{\sigma} = [\dots \overline{\pi}_j \,\overline{\pi}_{j-1} \dots \pi_{i+1} \,\overline{\pi}_i \dots].$$

In the case of sorting by sign-change reversals, we have to find the reversal distance  $\rho(\tau_1^{\sigma}, \tau_2^{\sigma})$  between two signed permutations  $\tau_1^{\sigma}$  and  $\tau_2^{\sigma}$ , or between  $\pi^{\sigma} = (\tau_2^{\sigma})^{-1}\tau_1^{\sigma}$  and the positive identity permutation defined as  $I_n^+ = [+1 \dots + n]$ . It was shown by Knuth [58] in 1994 (see Exercise 5.1.4–43) that at most n + 1 sign-change reversals are needed to sort any signed permutation to the positive identity permutation, for all n > 3, i.e.

$$\max_{\pi^{\sigma} \in B_n} \rho(\pi^{\sigma}, I) = n + 1.$$

The path distance in the Reversal graph  $B_n(R^{\sigma})$  corresponds to the reversal distance between two signed permutations. This means that its diameter is n + 1 and the following permutations, written in one-line notation, are at this maximum distance from the identity permutation  $I_n^+$ :

$$\pi^{\sigma} = \begin{cases} [+n + (n-1)\dots + 1], & \text{if } n \text{ is even,} \\ [+2 + 1 + 3 + n + (n-1)\dots + 4], & \text{if } n > 3 \text{ is odd} \end{cases}$$

In 2001, it was also shown by David Bader, Bernard Moret, and Mi Yan [10] that the reversal distance could be calculated in linear time for signed permutations.

The next subproblem here is how to reconstruct a sequence of reversals which realizes the distance. Its solutions are far from unique. In 1994 it was shown by John Kececioglu and David Sankoff [45] that the problem is NP-hard for unsigned permutations. However, it is polynomial for signed permutations as it was shown by Sridhar Hannenhalli and Pavel Pevzner [38] in 1999. The 1.5-approximation algorithm for sorting unsigned permutations was presented by David Christie [22] in 1998. One of the most effective algorithms that sort signed permutations by reversals was presented by Haim Kaplan and Elad Verbin [44] in 2003. An interesting problem related to sorting by reversals is the problem of sorting by transpositions of long fragments which was considered by Vineet Bafna and Pavel Pevzner [12] in 1998. Lower bounds on transposition distance between permutations are found and approximation algorithms for sorting by transpositions are presented in this paper. Some open problems in genome rearrangements are also discussed there. A natural generalization of sorting by transpositions is the problem of sorting by block--interchanges which was considered by David Christie in his thesis "Genome rearrangement problems" in 1998. In particular, he proved that this problem in NP-hard. As one can see, genome rearrangement problems have proved so interesting from a combinatorial point of view that the field now belongs as much to mathematics as to biology.

One more interesting problem related to sorting by reversals is the problem of sorting permutations by the fixed-length reversals (k-reversals). This problem is implicit in the popular puzzle  $TOP - SPIN^{TM}$  which consists of a permutation of 20 numbered disks on an oval track, with a turnstile capable of reversing a string of 4 consecutive disks. The goal is to sort the disks to the identity permutation using reversals. The more general problem, with permutations of n disks and a turnstile of size kwas considered and solved by Ting Chen and Steven Skiena [20]. Note that sometimes it is impossible to sort permutation using only reversals of certain length. For example, an odd length reversal does not change the parity of the position of any element it acts on, so odd length reversals cannot sort any permutation of the form  $\pi = [21...n]$ . The authors gave a complete description for all n and k of how many permutations of length n can be sorted using only reversals of length k. In particular, it was shown that  $O(n^{3/2})$  k-reversals suffice to transform any permutation to the identity permutation when  $k \approx \sqrt{n}$ . The number of connected components on the corresponding Cayley graphs generated by the fixed-length reversals was also discussed there. For instance, in the trivial case of n-reversals, any permutation can be transformed only to its inverse permutation. Thus, there are n!/2 connected components for the symmetric group  $Sym_n$  with k = n > 2.

# Chapter 4

# Further reading

Classical problems on Cayley graphs such as classification, isomorphism and enumeration of Cayley graphs were reviewed by Ming-Yao Xu [80] in 1996 and Cai Heng Li [62] in 2002. The last article is devoted to surveying results, open problems and methods based on deep group theory, including the finite simple group classification, and on combinatorial techniques. It contains 121 references on the topics. Very good survey articles on the classification problems were written by Laszlo Babai [5] in 1981 as well as presented in the book on "Topics in Algebraic Graph Theory" published in 2004 [13]. Isomorphisms of Cayley graphs were considered in the older classic paper by Laszlo Babai and Peter Frankl [8] in 1978 and in the modern handbook by Laszlo Babai [6] in 1996. Much more on the combinatorics and groups, including the useful list of references on this topic, is given in Peter Cameron's IPM Lecture Notes [18] on "Combinatorics and Groups" published in 2004 and in Adalbert Kerber's book [47] on "Algebraic Combinatorics via Finite Group Actions" published in 1991. The questions concerning eigenvalues, expanders and random walks in Cayley graphs (which not mentioned in these notes) were considered by Alex Lubotzky [64] in 1995.

There are survey papers on hamiltonian problem for graphs, Cayley graphs and digraphs, presented by Dave Witte and Joseph Gallian [79] in 1984, Ronald Gould [37] in 1991 and Stephen Curran and Joseph Gallian [25] in 1996. In the last paper the main results are chronicled and some open problems and conjectures are included. These surveys also contain some material on related topics such as hamiltonian decompositions,

hamiltonian–connected and pancyclic graphs and digraphs, as well as an extensive bibliography of papers in the area. A short survey of various results in hamiltonicity of Cayley graphs could be also found in the paper by Igor Pak and Radoš Radoičić [68].

To get more information about Cayley graphs in computer science, I would like to recommend two surveys by Sheldon Akers and Balakrishnan Krishnamurthy [1], and S. Lakshmivarahan, Jung–Sing Jwo and Sudarshan Dhall [59] written in 1989 and 1993, correspondingly. In these papers some properties of Cayley graphs such as edge–transitivity (line symmetry), hierarchical structure (allowing recursive construction), high fault tolerance and so on, are discussed. Another good reference on this subject is the report by Marie–Claude Heydemann [39] on "Cayley Graphs as Interconnection Networks" where routing problems including connectivity, diameter and loads of routing are considered for Cayley graphs and for some generalizations of Cayley graphs.

Some combinatorial problems arising in computational molecular biology have connections with classical combinatorial problems on Cayley graphs. For more details on such applied problems, I recommend the books by Pavel Pevzner [69] written in 2000 and the book on "Current Topics in Computational Molecular Biology" [74] with a good chapter on "Genome rearrangement" by David Sankoff and Nadia El–Mabrouk. There is also a very nice course "Algorithms for Molecular Biology" by Ron Shamir presented at his homepage [75]. One more recently published book could be also recommended for reading. This is "Combinatorics of Genome Rearrangements" by Guillaume Fertin, Anthony Labarre, Irena Rusu, Eric Tannier and Stephane Vialette [31] published in 2009.

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